

OBSERVATIONAL EVIDENCE FOR THE CO-EVOLUTION OF GALAXY MERGERS, QUASARS, AND THE BLUE/RED GALAXY TRANSITION

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ABSTRACT

We compile a number of recent observations to estimate the time-averaged rate of formation or “buildup” of red sequence galaxies, as a function of mass and redshift. Comparing this with the mass functions of mergers and quasar hosts, and independently comparing their clustering properties as a function of redshift, we find that these populations trace the same mass distribution, with the same characteristic masses and evolution, in the redshift interval $0 < z \lesssim 1.5$. Knowing one of the quasar, merger, or elliptical mass/luminosity functions, it is possible to predict the others.

Allowing for greater model dependence, we compare the rate of early-type “buildup” with the implied merger and quasar “triggering” rates as a function of mass and redshift, and find agreement. We show that over this redshift range, observed gas-rich merger fractions can account for the entire bright quasar luminosity function, and buildup of the red sequence at all but the highest masses at low redshift ($\gtrsim 10^{11} M_{\odot}$ at $z \lesssim 0.3$) where dissipationless “dry” mergers appear to dominate. This supports a necessary prediction of theories which postulate that mergers between gas-rich blue galaxies produce ellipticals with an associated phase of bright quasar activity, after which the remnant becomes red. All of these populations, regardless of sample selection, trace a similar characteristic “transition” mass reflecting the characteristic mass above which the elliptical population is mostly ($\gtrsim 50\%$) assembled at a given redshift, which increases with redshift over the observed range in a manner consistent with previous suggestions that “cosmic downsizing” may apply to red galaxy assembly as well as star formation. We show that these mass distributions as a function of redshift do not uniformly trace the all/red/blue galaxy population, ruling out models in which quasar activity is generically associated with either star formation or is long-lived in “old” systems.

Subject headings: quasars: general — galaxies: active — galaxies: evolution — cosmology: theory

1. INTRODUCTION

Observations motivate the notion of “cosmic downsizing” (as coined by Cowie et al. 1996), with the global star formation rate declining rapidly below $z \sim 2$, and the sites of galactic star formation shifting to smaller masses at lower redshift. Moreover, galaxy surveys such as SDSS, COMBO-17, and DEEP2 demonstrate that the color distribution of galaxies is bimodal (e.g., Strateva et al. 2001; Balogh et al. 2004), and that this bimodality extends at least to $z \sim 1$ (e.g., Bell et al. 2004; Faber et al. 2005).

It is increasingly established that high mass, red elliptical galaxies have older stellar populations than smaller spheroids (e.g., Caldwell et al. 2003; Nelan et al. 2005; Gallazzi et al. 2006). But, many studies also see a significant population of massive/luminous galaxies in place (i.e. assembled) by $z \sim 2$ (e.g., Papovich et al. 2006; Renzini 2006, and references therein), with measurements of galaxy stellar mass functions (MFs) and luminosity functions at redshifts $0 < z < 2$ favoring either a uniform increase or buildup in the numbers of early-type (“red sequence,” RS) galaxies (e.g., Bell et al. 2004; Faber et al. 2005) or an anti-hierarchical scenario in which this “buildup” at $z \lesssim 1$ occurs primarily at the low-mass end of the RS (Bundy et al. 2005a, 2006; Zucca et al. 2005; Yamada et al. 2005; Borch et al. 2006; Franceschini et al. 2006; Pannella et al. 2006; Cimatti et al. 2006; Brown et al. 2006). The blue, disk dominated, star forming galaxy mass function (dominant at low mass), meanwhile, remains relatively constant, or perhaps declines to

$z = 0$. As a consequence, the “transition mass,” above which the red galaxy population dominates the galaxy MF, decreases with time, tracing this downsizing trend. There is evidence for some evolution at the highest masses as ellipticals grow by spheroid-spheroid or “dry” mergers (van Dokkum 2005; Bell et al. 2006a), but this, by definition, proceeds strictly hierarchically, and *cannot* account for the movement of mass onto the RS in the first place or any buildup in the number density of low-mass ellipticals.

Meanwhile, the discovery of tight correlations between the masses of central supermassive black holes (BHs) in galaxies and the bulge or spheroid stellar mass (Magorrian et al. 1998), velocity dispersion (Gebhardt et al. 2000; Ferrarese & Merritt 2000) or concentration (Graham et al. 2001) implies that the formation of galaxies and BHs must be linked. Moreover, the evolution of the quasar luminosity function (QLF) shows a sharp decline after $z \sim 2$, with the density of lower-luminosity AGN peaking at low redshift (e.g., Hasinger, Miyaji, & Schmidt 2005, and references therein). To the extent that BH assembly traces galaxy assembly (i.e. there is weak evolution in the BH-host mass relation, as observed to at least $z \gtrsim 1$ by e.g. Shields et al. (2003); Adelberger & Steidel (2005a); Peng et al. (2006)), this implies early assembly times ($z \gtrsim 1$) for many of the most massive systems containing $M_{\text{BH}} \gtrsim 10^8 M_{\odot}$ BHs.

A number of theoretical models have been proposed to explain the evolution of these populations with redshift, and their correlations with one another (e.g., Kauffmann & Haehnelt 2000; Somerville et al. 2001; Wyithe & Loeb 2003; Granato et al. 2004; Scannapieco et al. 2005; Baugh et al. 2005; Monaco & Fontanot 2005; Croton et al. 2006; Hopkins et al. 2006b,c,d; Cattaneo et al.

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2006). In many of these models, the merger hypothesis (Toomre 1977) provides a potential physical mechanism linking galaxy star formation, morphology, and black hole evolution and explaining these various manifestations of cosmic “downsizing.” In this scenario, gas-rich galaxy mergers channel large amounts of gas to galaxy centers (e.g., Barnes & Hernquist 1991, 1996), fueling powerful starbursts (e.g., Mihos & Hernquist 1994, 1996) and buried BH growth (e.g., Sanders et al. 1988; Barnes & Hernquist 1992) until the BH grows large enough that feedback from accretion rapidly unbinds and heats the surrounding gas (Silk & Rees 1998), leaving an elliptical galaxy satisfying observed correlations between BH and spheroid mass. Major mergers rapidly and efficiently exhaust the cold gas reservoirs of the progenitor systems, allowing the remnant to rapidly redden with a low specific star formation rate, with the process potentially accelerated by the expulsion of remnant gas by the quasar (e.g., Springel et al. 2005a). This naturally explains the observed close association between the elliptical and red galaxy populations (e.g., Kauffmann et al. 2003).

In a qualitative sense, the evolution of the characteristic mass at which these processes occur can be understood as follows. Mergers proceed efficiently at high redshift, occurring most rapidly in the regions of highest overdensity corresponding to the most massive galaxies, building up the high-mass elliptical MF. However, once formed these galaxies are “dead”, and mergers involving gas-rich galaxies must transition to lower masses.

Recent hydrodynamical simulations, incorporating star formation, supernova feedback, and BH growth and feedback (Springel et al. 2005b) make it possible to study these processes self-consistently and have lent support to this general picture. Mergers with BH feedback yield remnants resembling observed ellipticals in their correlations with BH properties (Di Matteo et al. 2005), scaling relations (Robertson et al. 2005b), colors (Springel et al. 2005a), and morphological and kinematic properties (Cox et al. 2006a,b). The quasar activity excited through such mergers can account for the QLF and a wide range of quasar properties at a number of frequencies (Hopkins et al. 2005a, 2006b), and with such a detailed model to “map” between merger, quasar, and remnant galaxy populations it is possible to show that the buildup and statistics of the quasar and red galaxy populations are consistent and can be used to predict one another (Hopkins et al. 2006c).

However, it is by no means clear whether this is, in fact, the dominant mechanism in the buildup of early-type populations and quasars and their evolution with redshift. For example, many semi-analytic models incorporate quasar triggering/feedback and morphological transformation by mergers (Kauffmann & Haehnelt 2000; Volonteri et al. 2003, 2006; Wyithe & Loeb 2003; Somerville et al. 2004a; Monaco & Fontanot 2005; Bower et al. 2006; Lapi et al. 2006; Menci et al. 2006). However, some models tie quasar activity directly to star formation (e.g., Granato et al. 2004), implying it will evolve in a manner tracing star-forming galaxies, with this evolution and the corresponding downsizing effect roughly independent of mergers and morphological galaxy segregation at redshifts $z \lesssim 2$. Others invoke post-starburst AGN feedback to suppress star formation on long timescales and at relatively low accretion rates through e.g. “radio-mode” feedback (Croton et al. 2006), which, if this is also associated with optical QSO modes, would imply quasars should trace the established “old” red galaxy population at each redshift. There are, of course, other sources of feedback,

with galactic superwinds from star formation presenting an alternative means to suppress subsequent star formation, although the required wind energetics are sufficiently high to prefer a quasar-driven origin (e.g., Benson et al. 2003). Several models invoke a distinction between “hot” and “cold” accretion modes (Birnboim & Dekel 2003; Kereš et al. 2005; Dekel & Birnboim 2006), in which new gas cannot cool into a galactic disk above a critical dark matter halo mass, potentially supplemented by AGN feedback (Binney 2004), as the dominant distinction between the blue cloud and red sequence, essentially independent of effects on scales within galaxies.

It is also important to distinguish the processes which may be associated with the initial movement of galaxies onto the red sequence from their subsequent evolution. Once morphologically transformed by a gas-rich merger, for example, mass can be moved “up” the RS (galaxies increased in mass) by gas-poor mergers, but it cannot be *added* to the red sequence in this manner. It also remains an important cosmological question to understand how, once formed, further growth of ellipticals by accretion or “cooling flows” may be halted. The models above invoke various feedback processes, including “radio mode” activity (e.g., Croton et al. 2006), cyclic quasar or starburst-driven feedback (Somerville et al. 2001; Granato et al. 2004; Binney 2004; Monaco & Fontanot 2005), massive entropy injection from a single quasar epoch (e.g., Wyithe & Loeb 2003; Scannapieco & Oh 2004), and “hot mode” accretion (Birnboim & Dekel 2003) to address this problem. Although critical to our understanding of galaxy formation, these processes must operate over timescales of order the Hubble time for all massive galaxies once formed, and therefore are *not* necessarily associated with the *addition* of mass to the red sequence. As such, the details of these long-term suppression mechanisms should be studied in different (e.g. already formed elliptical) populations, and are outside the scope of this paper.

Observationally, it is still unclear whether mergers can account for the the buildup of elliptical and/or quasar populations (see, e.g., Floyd et al. 2004; Rothberg & Joseph 2006; Lotz et al. 2006b, and references therein). Even within the context of the merger hypothesis, the relative importance of dissipational (gas rich, disk) vs. dissipationless (gas poor, spheroid-spheroid) mergers is unclear (e.g., van Dokkum 2005; Bell et al. 2006a), although all measurements agree that the “dry” merger rate is much less than the gas-rich merger rate at all observed redshifts (Bell et al. 2006a; Lotz et al. 2006b; Bell et al. 2006b). This is essentially related to the critical question of whether the buildup of the red sequence and elliptical populations is dominated by the formation or movement of “new” early-type galaxies onto that sequence or instead by the hierarchical assembly of small “seed” early-types and substructure formed at high redshift (which will also not trigger quasar activity).

Fundamentally, it is not clear and has not yet been tested whether the observed downsizing trends in the transition mass, galaxy stellar populations, quasars, and other populations are in fact *quantitatively* the same trend, or merely *qualitatively* similar. This represents a key test which can distinguish between several of the various scenarios above. Attempting to predict the values of this transition mass in an a priori cosmological manner is inherently model dependent and, at least at low redshift, degenerate between the various models described above. However, if mergers are indeed the critical link in the process causing the flow of galaxies from

the blue to red sequence and triggering quasar activity, then it is a strong prediction of these theories, and specifically the modeling of Hopkins et al. (2005a-d, 2006a-d) that the same mergers are responsible for the bulk of the bright quasar population and the buildup of the new mass on the red sequence at each redshift. In other words, these downsizing trends must quantitatively reflect one another.

In this picture, the “transition mass” (M_{tr}) may represent the “smoking gun” of mergers causing the flow of galaxies from the blue to red sequence. Therefore, to the extent that M_{tr} traces the mass at which the red sequence is being “built” at some z , it should also trace the characteristic mass of star-forming galaxies merging at that time, and the characteristic mass of galaxies hosting quasars which are initially triggered by those mergers. Of particular interest, the empirical test of this association does not require the adoption of some a priori model for galaxy formation.

Here, we consider the observed M_{tr} over the interval $0 < z < 2$, and compare it to the characteristic masses of quasar hosts and merging galaxies over the same range in redshift. We demonstrate that they appear to be evolving in a manner consistent with a merger-driven unification model of quasars, interacting galaxies, and the red galaxy population. Note that we use the term “quasar” somewhat loosely, as a proxy for high-Eddington ratio accretion inevitably caused by gas-rich mergers, although there may be other triggering mechanisms as well (Sanders et al. 1988; Alexander et al. 2005a,b; Borys et al. 2005; Hopkins et al. 2006b). Such activity will of course be significantly weaker in small systems (especially those typical of local ULIRGs) and may not technically qualify as a “classical” optical quasar (Hopkins et al. 2005b), but this distinction is essentially arbitrary and has little impact on our analysis (see also Hopkins et al. 2006d).

We adopt a $\Omega_M = 0.3$, $\Omega_\Lambda = 0.7$, $H_0 = 70 \text{ km s}^{-1} \text{ Mpc}^{-1}$ cosmology. All stellar masses are rescaled to a Salpeter (1955) IMF.

2. THE TRANSITION MASS AND BUILDUP OF EARLY-TYPE POPULATIONS

2.1. Defining the “Transition” Mass

Various studies have used different definitions and terms for the mass which separates the dominance of old, red, low-SFR elliptical galaxies from that of young, blue, star-forming disk galaxies. It is also possible to divide the galaxy population along any one of those quantities. Although it has been established in a number of observational studies that the galaxy population is bimodal with respect to color, specific star formation rate, and morphology (e.g., Strateva et al. 2001; Kauffmann et al. 2003; Balogh et al. 2004; Driver et al. 2005), and that this bimodality extends at least to $z \sim 1$ (e.g., Bell et al. 2004; Faber et al. 2005), it is still possible that the various definitions used to separate these bimodal distributions could result in a systematically different “separation point.”

In what follows, we consider several definitions of the “transition” galaxy stellar mass in terms of the MFs ($\phi(M)$) of early and late type systems: the Bundy et al. (2005a) transition mass M_{tr} at which the density of early and late type systems are equal,

$$\phi_{\text{early}}(M_{tr}, z) = \phi_{\text{late}}(M_{tr}, z), \quad (1)$$

the Bundy et al. (2006) “quenching” mass M_Q at which the contribution of late types to the total mass function cuts off,

$$\phi_{\text{late}}(M, z) = \phi_{\text{all}}(M, z) \exp(-M/M_Q), \quad (2)$$

and the Cimatti et al. (2006) “downsizing” mass M_{50} above which 50% of the $z = 0$ RS MF has been assembled by a given redshift,

$$\phi_{\text{early}}(M > M_{50}, z) \geq 0.5 \phi_{\text{early}}(M > M_{50}, z = 0). \quad (3)$$

For each, we consider a division between early and late types defined by either a color (i.e. separating galaxies on the redshift-dependent RS from the “blue cloud”; see e.g. Bell et al. (2004)), star formation rate (generally from detailed population synthesis modeling, although we include looser emission/absorption galaxy spectral type separations), or morphology (either by visual morphological identification or concentration/surface brightness) criteria. Above these masses, early type galaxies in the red, low-SFR, high-concentration, morphologically elliptical “half” of the bimodal distribution dominate the total galaxy MF, and below, late type galaxies in the blue, high-SFR, low-concentration, morphologically disk-like or irregular bimodal “half” dominate (generally true also for M_{50} , though it is technically independent of ϕ_{late}).

We determine these masses from a number of compiled type-separated mass functions, shown in Figure 1 (for clarity, just the early-type MFs are shown). Data in all cases are converted to our adopted cosmology and rescaled to a Salpeter (1955) IMF. At all redshifts, only points above the quoted completeness limits of each study are shown. Errors are as published, and generally account for cosmic variance similar to e.g. Somerville et al. (2004b). At $z = 0$, we generally adopt the local MF determinations from Bell et al. (2003) from 2MASS+SDSS observations, but find no change in our results considering e.g. the 2MASS+2dFGRS Cole et al. (2001) determination. At higher redshifts, our compilation includes Pozzetti et al. (2003, K20; $z < 1.3$), Fontana et al. (2004, K20; $z < 2.0$), Bundy et al. (2005a, GOODS; $z < 1.4$) and Bundy et al. (2006, DEEP2; $z < 1.4$), Borch et al. (2006, COMBO-17; $z \leq 1.0$), Franceschini et al. (2006, CDFS; $z < 1.4$), and Pannella et al. (2006, FORS Deep+GOODS-S; $z \leq 1.15$). Each of these considers the separate MFs of early and late types, divided according to at least one of the criteria above. Although many of these surveys cover a small area and thus may be subject to significant cosmic variance, our conclusions are unchanged if we restrict ourselves to the largest fields, and are in fact most robust for the wide-field surveys from COMBO-17 (0.78 deg^2) and DEEP2 (3.5 deg^2).

Figure 2 shows resulting “transition” mass from these studies as a function of redshift, from the different definitions and galaxy type segregation methods above. These samples generally derive masses from optical+near-IR spectral and photometric fitting. To compare, we also consider the evolution in M_{50} (color-selected) determined by Cimatti et al. (2006). They compile the B -band early-type (red) galaxy luminosity functions from COMBO-17 (Bell et al. 2004), DEEP2 (Willmer et al. 2006; Faber et al. 2005), and the Subaru/*XMM-Newton* Deep Survey (Yamada et al. 2005) at $z = 0 - 1.15$ (typical $\Delta z \approx 0.05$ between luminosity functions), and use the redshift-dependent evolution of B -band mass-to-light ratios determined from fundamental plane studies (e.g., van Dokkum & Stanford 2003; van der Wel et al. 2005; Treu et al. 2005; di Serego Alighieri et al. 2005; Renzini 2006, roughly similar to mean formation redshifts $z_f \sim 3 - 4$) to correct these to a $z = 0$ equivalent B luminosity and mass (given the $z = 0$ mass-dependent B/g -band M/L ratios from Bell et al. (2003)). We fit a simple relation of the form

$$M_* \propto (1+z)^\kappa \quad (4)$$

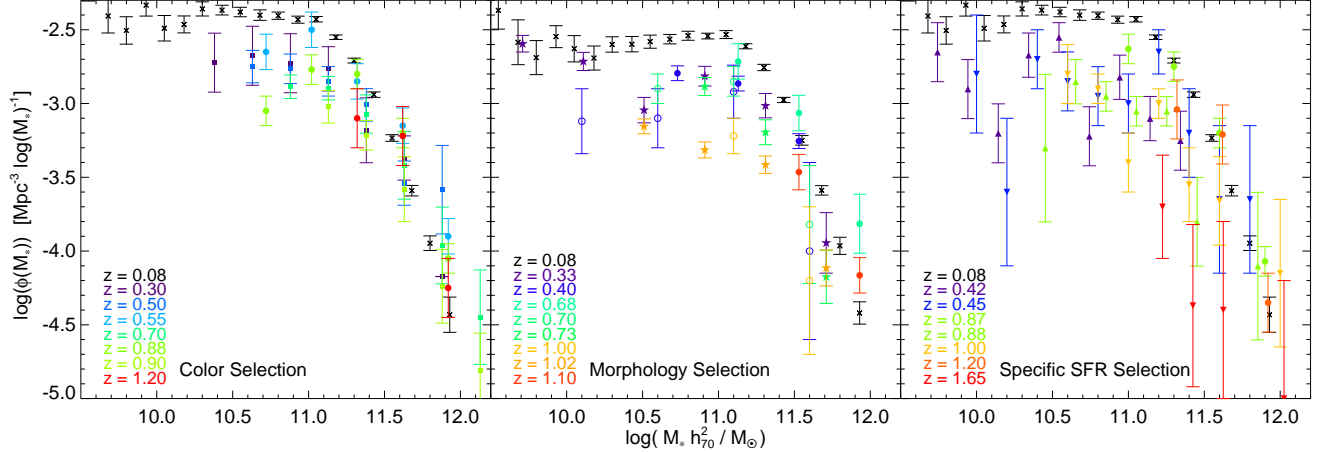


FIG. 1.— Mass functions of early type galaxies selected by color, morphology, or specific star formation rate, from Bell et al. (2003, \times 's), Borch et al. (2006, squares), Bundy et al. (2005a, 2006, filled circles), Pannella et al. (2006, open circles), Franceschini et al. (2006, stars), Fontana et al. (2004, inverted triangles), and Pozzetti et al. (2003, triangles). Points are colored by redshift, as labeled, and shown only above the quoted completeness limits of each study. The data have been converted to our adopted cosmology and masses rescaled to a Salpeter (1955) IMF. Comparison suggests that “cosmic downsizing” may apply in some sense to early-type galaxy assembly, as well as star formation histories.

in each panel (for illustrative purposes only, we do not intend for this to be considered a rigorous estimate of the evolution in these characteristic masses).

Despite the different selection and type separation methods and definitions of a characteristic mass, a nearly identical trend with redshift is recovered in every case. In fact, the best-fit slopes κ for most selection methods and definitions are statistically indistinguishable from the cumulative best-fit slope, and several of the definitions agree nearly exactly in Figure 2. It is also reassuring that the indirect estimates from optical luminosity functions, which generally involve the largest samples and most finely probe the redshift evolution of M_{50} , agree well at all redshifts with the MF estimates. This suggests that the trend with redshift is real, and that it is independent of the potential systematics in sample selection, as these systematics can be quite different for the various criteria shown.

The absolute normalization of the “transition” mass does depend systematically on the definition chosen. For example, same separation by color or SFR gives a systematic factor ~ 2 lower mass than separation by morphology, and M_Q is systematically higher than M_{tr} by about the same factor for all separation methods (see also Bundy et al. 2006). The systematic difference in M_{tr} and M_Q can be understood as a consequence of their definitions (essentially one could define arbitrary Schechter functions for early and late-type systems, and as long as the early-type function has a shallower slope and larger M_* , this small systematic offset in the two measurements would be guaranteed). The systematic offset between color/SFR and morphological selection is best demonstrated in the detailed comparison of local color and morphologically selected MFs in e.g. Bell et al. (2003). These authors find that although the different selection methods preserve the same qualitative behavior, and result in early and late-type samples which are identical in ~ 80 – 90% of the included galaxies, the color criterion does result in a slightly larger number of early-type systems (probably owing to the large scatter in blue galaxy colors, with a non-negligible highly dust-reddened population), which will push the characteristic separation mass slightly lower. This may also explain why there appears to be a larger scatter between samples at a given redshift in the morphologically-defined M_{tr} and M_Q , as such an effect will be sensitive to a given sample’s resolution and

imaging depth. There may also be an interesting timescale effect, as discussed in Bundy et al. (2006), if galaxies redden onto the red sequence somewhat more rapidly than they morphologically relax following mergers (perhaps suggesting different mechanisms for morphological and color transformation). However, that $M_{50}(z)$ (depending only on ϕ_{early}) is similar regardless of selection method suggests that these differences may be an artifact of the selection/identification of *blue* galaxies. In any case, further detailed study of these intermediate objects and comparison between different samples is needed to understand these differences.

These systematic distinctions caution against mixing definitions in determining the redshift evolution of these masses. However, for our purposes, the systematic normalization scatter of a factor ~ 2 is not large – this is comparable to the inherent ambiguity in defining a “characteristic mass” of any population (e.g. Schechter M_*). As long as we are careful about the relatively small normalization offsets between selection criteria, we can safely compare the “transition” mass and its evolution with redshift to the masses of other populations.

2.2. The Buildup of Ellipticals and the Physical Significance of the Transition Mass

It has been suggested (e.g. Bundy et al. 2005a) that the “transition” mass may represent the mass at which the early-type MF is “building up” at each redshift, in the sense that “new” spheroids are being added to the RS MF at this mass. If cosmic downsizing applies to galaxy assembly to any extent – i.e. this “building up” extends at higher masses at higher redshift (or “peaks” at lower redshift for lower masses), then this implies a shift of M_{50} to lower mass at lower redshift (Cimatti et al. 2006). To the extent that the shape of the late-type MF does not dramatically change over this redshift interval (observed in the samples above and e.g. Feulner et al. 2003; Drory et al. 2004) this will, by definition, manifest in a similar evolution of M_{tr} and M_Q . In this scenario, then, M_{50} is directly tied to “downsizing” in the early-type MF.

Figure 3 considers the evolution with redshift of the number density of early-type galaxies of a given M_* , from $9.75 \leq \log(M_*/M_\odot) \leq 12.5$. At low M_* , the number density declines steeply with z (roughly $\propto (1+z)^{-\beta}$ with $\beta = 2.37$). Although

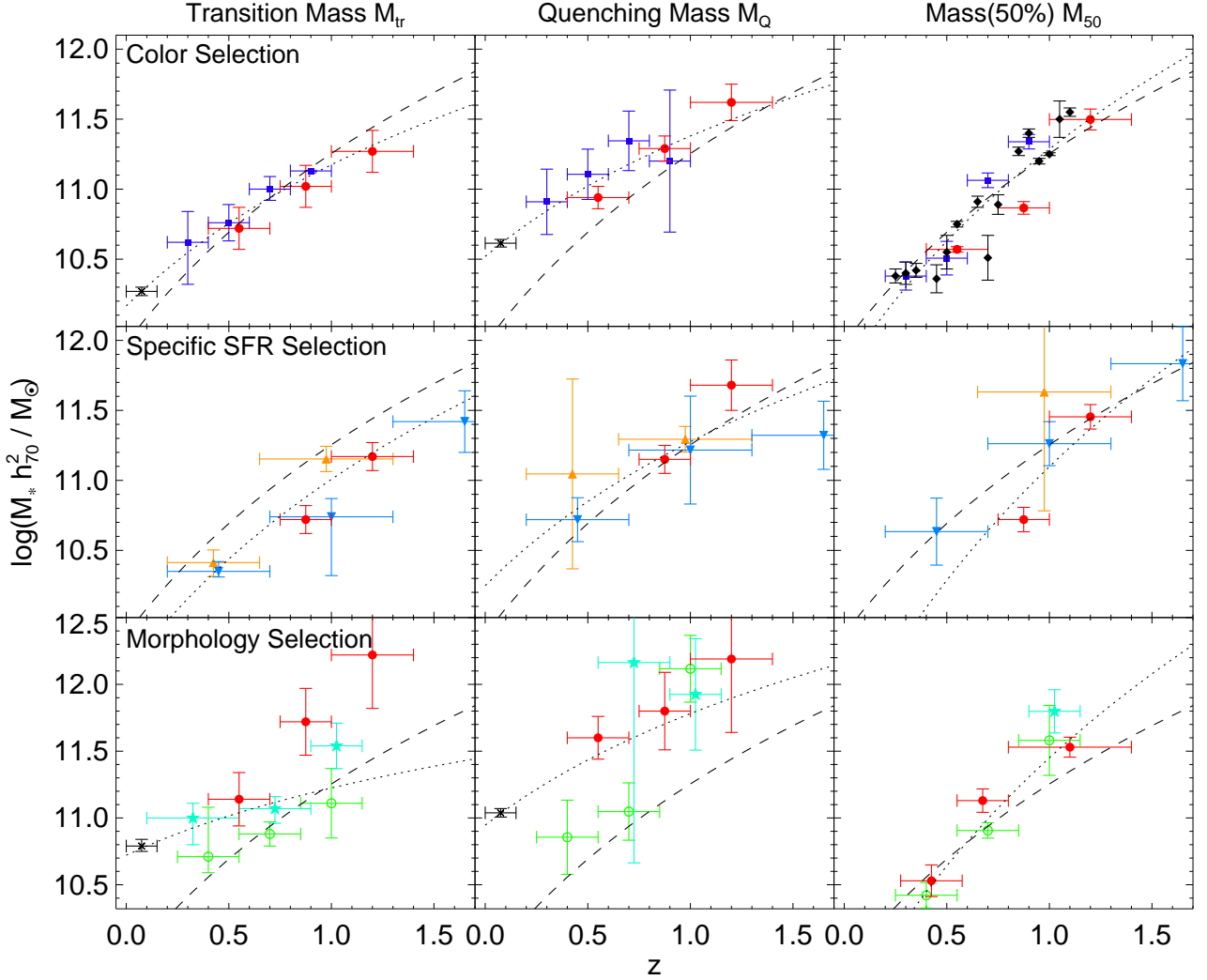


FIG. 2.— Evolution with redshift of the “transition” or “downsizing” mass, at which objects may be in transition from the blue cloud to the red sequence (i.e. the characteristic mass at which elliptical populations may be “building up”) with different sample selections and definitions of this mass. *Left:* M_{tr} , the mass above which ellipticals dominate the cumulative galaxy mass function, with galaxy types separated by color selection (upper), specific star formation rate or spectral (absorption/emission) fitting (middle), and morphological selection (lower). *Center:* M_Q , the mass at which the contribution of late-type galaxies cuts off, with the same sample definitions as for M_{tr} . *Right:* M_{50} , the mass above which the early-type mass function at z is $\geq 50\%$ assembled relative to the Bell et al. (2003) mass function at $z = 0$ (i.e. $\phi(M > M_{50}, z) \geq 0.5 \phi(M > M_{50}, z = 0)$). Data are shown from the mass functions in Figure 1, in the same point style, color-coded by the observed sample: Bell et al. (2003, black \times ’s), Borch et al. (2006, purple squares), Bundy et al. (2005a, 2006, red filled circles), Pannella et al. (2006, green open circles), Franceschini et al. (2006, cyan stars), Fontana et al. (2004, blue inverted triangles), and Pozzetti et al. (2003, orange triangles). We also consider M_{50} calculated in Cimatti et al. (2006, black diamonds) from the luminosity functions of Bell et al. (2004); Willmer et al. (2004); Faber et al. (2005); Yamada et al. (2005), using the redshift-dependent mass-to-light ratios estimated from fundamental plane studies. Dotted lines in each panel show the best-fit trend of the form $M_* \propto (1+z)^\beta$. Dashed lines (identical in all panels) show a cumulative best-fit to the M_{50} data from all samples. Although there are systematic factor ~ 2 normalization offsets between different methods which caution against mixing definitions, the various methods all trace a similar mass. Regardless of the characteristic mass definition, the sample survey, or the method of type segregation of the samples, a similar trend with redshift is recovered in each case.

the statistics are poor and variance large at high M_* , there is a significant trend ($\sim 7\sigma$) for a shallower decline in number density at higher masses ($\beta \sim 0$, i.e. little evolution in number density, at $M_* \gtrsim 10^{12} M_\odot$). Above $z \sim 1$, the various samples plotted begin to disagree, and the $\propto (1+z)^{-\beta}$ functional form may not be a good approximation, so we reconsider this, fitting only the data at $z \leq 1.0$ ($z \leq 0.5$), and find the same trend at $\sim 6\sigma$ ($\sim 4\sigma$).

Figure 4 considers the differential growth of the early-type MF in more detail. We show the time-averaged buildup of early-type MFs in several redshift intervals from $z = 0 - 1.5$. Where a given sample measures the elliptical mass function at two redshifts z and $z + \Delta z$, we differentiate the observed elliptical mass function at every mass with respect to the two redshifts to obtain the time-averaged rate of creation of ellipti-

cals of that mass, over that redshift interval. In total, we show four redshift intervals: $z \sim 0.0 - 0.3$, $0.3 - 0.7$, $0.7 - 1.0$, and $1.0 - 1.5$. Of course, we only compare mass functions measured with the same technique and sample in the same study, since systematic offsets in methodology could severely bias such an estimate. Although the scatter is large (especially at low masses), the observations all trace a similar elliptical formation rate as a function of mass, with a similar break traced in the different samples at each redshift. The shape of this function is not the same as that of the early type mass functions – i.e. we are not simply recovering the fact that the mass function builds up uniformly over these intervals.

At low redshift ($z \lesssim 0.3$), comparison with the early-type MFs in Figure 1 shows that sub- M_* ($M \lesssim 10^{11} M_\odot$) ellipticals are “building up” in number density by $\sim 7 - 15\%$ per Gyr,

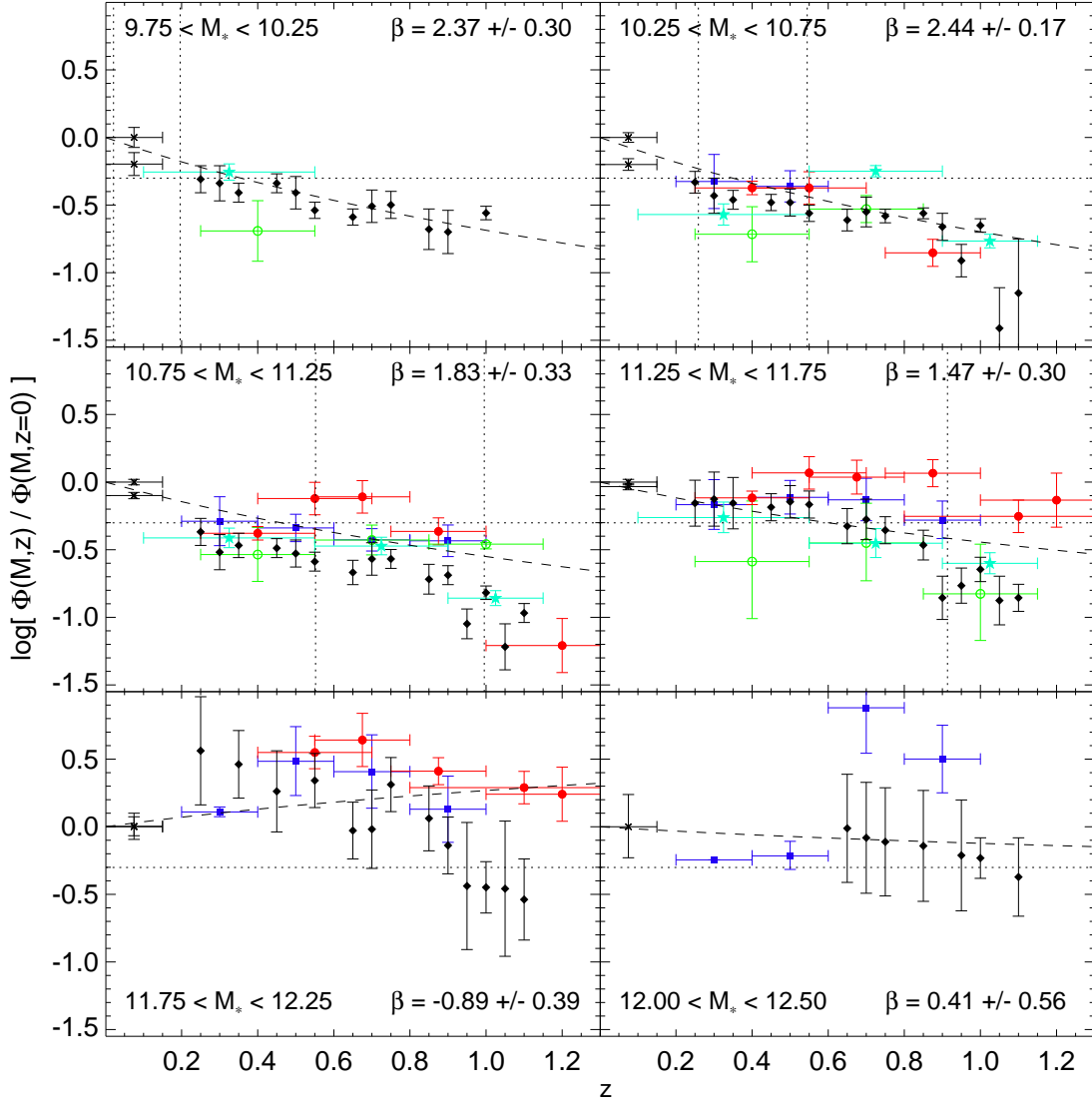


FIG. 3.— Number density of early-type galaxies in different mass bins as a function of redshift, relative to that at $z = 0$ from Bell et al. (2003, color-selected), from the samples in Figure 2 (same style). Dotted horizontal line shows 50% of the $z = 0$ value, vertical horizontal lines show the redshifts at which the lower and upper limits of each mass bin correspond to the best-fit $M_{50}(z)$ (dashed line in Figure 2). Dashed lines in each panel show the best-fit trend of the form $\propto (1+z)^{-\beta}$, with the labeled β . Although there is considerable variance at high masses, a mass-independent galaxy density evolution β can be ruled out at $\sim 7\sigma$. A steeper β at low mass implies that a large fraction of these galaxies are added at lower redshift than galaxies of higher mass.

whereas the most massive systems build up by only $\sim 1\%$ per Gyr. In other words, the most massive systems are not building up (either via star formation *or* assembly of stellar populations) at a significant rate at low redshift. At high z , there is a marginal shift of this function to higher masses. By $z \sim 1$, comparison with the corresponding early-type MFs implies that systems with $M_* \gtrsim 10^{11} M_\odot$ are building up by $\sim 20\text{--}50\%$ per Gyr. The best-fit Schechter functions plotted in each redshift interval reflect this, with the Schechter M_* shifting from 11.02 ± 0.11 ($z \lesssim 0.3$) to 11.51 ± 0.07 ($1.0 \lesssim z \lesssim 1.5$).

The low- z growth estimate of $\sim 1\%$ per Gyr in the most massive systems is in excellent agreement with that from Masjedi et al. (2006) and Bell et al. (2006b) determined from local red galaxy (spheroid-spheroid or “dry”) merger rates. In detail, observations suggest that the typical massive red galaxy undergoes $\sim 0.5\text{--}1$ major dissipationless mergers since $z = 1$ (van Dokkum 2005; Bell et al. 2006a). If this is

representative, it is trivial to predict the corresponding rate of buildup of the elliptical population, assuming every red galaxy undergoes this number of major ($\sim 1:1$ mass ratio) mergers in this time. This is shown in Figure 4, calculated from the local MF of Bell et al. (2003). Although these mergers appear to be important for building up the most massive galaxies at low ($z \lesssim 0.3$) redshift, their contribution cuts off completely below $\sim 2 \times 10^{11} M_\odot$ (and will cut off at higher masses at higher z , generally about ~ 2 times the break in the “buildup” mass function). Such mergers move galaxies from the low-mass end of the elliptical mass function to the high mass end, and since the low-mass slope of the elliptical mass function is not steep, this can only *decrease* the number density of low-mass objects. By definition, then, dry mergers cannot account for the (substantial) buildup of *total* mass on the RS nor the buildup at low and intermediate masses. If these lower-mass ellipticals are formed by gas-rich mergers, then there must be at least ~ 2 times as many gas-rich mergers

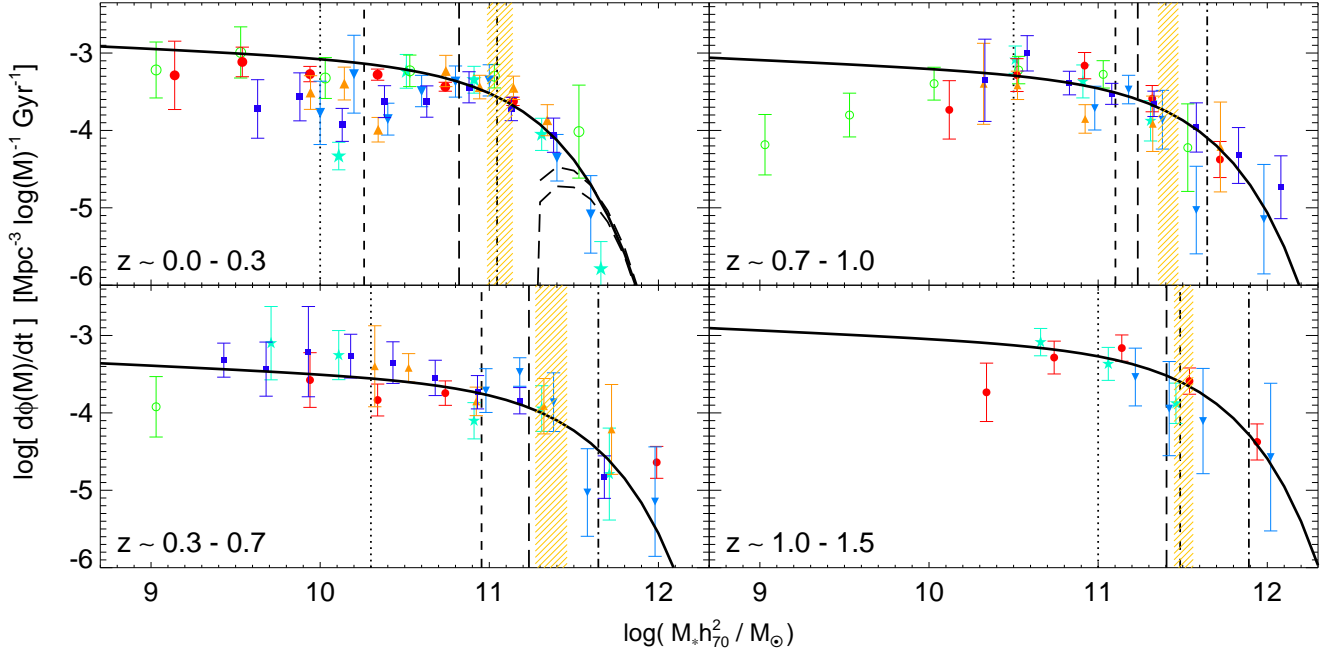


FIG. 4.— Time-averaged rate of formation of elliptical galaxies, obtained by differentiating the observed elliptical mass functions in Figure 1 with respect to time (colored points; style as in Figure 2). Results are shown over a number of redshift intervals, as labeled. Dotted lines show typical completeness limits at each redshift. Short-dashed, long-dashed, and dash-dotted vertical lines show M_{50} , M_{tr} , and M_Q from Figure 2 (color-selected fits) at each z . Solid line in each panel shows the best-fit Schechter function (to points above the completeness limits quoted for each sample), with the shaded range showing the 1σ range of the best-fit M_* . High mass ellipticals appear to preferentially build up at higher redshifts. The characteristic masses in Figure 2 provides a reasonable proxy for the characteristic M_* being added or “built up” in the early-type galaxy mass function at each redshift, as both evolve to higher masses at higher redshift. In the upper panel, thick dashed lines show the maximal contribution from spheroid-spheroid mergers if all undergo $\sim 0.5-1$ (lower and upper lines) such mergers in that redshift interval (at or below the break at each z , a significant dissipationless merging fraction will lower the number density at low M , where it is observed to rise).

moving new galaxies onto the elliptical mass function as there are dry mergers (in fact, the actual observed ratio is $\sim 10:1$, Bell et al. (2006a)).

3. THE TRANSITION MASS AND CHARACTERISTIC GALAXY MASSES

Figure 5 compares the “transition” mass determined above with the characteristic masses (Schechter M_*) of the red, blue, and all galaxy populations. For clarity, we show just M_{50} , as it is the most well-determined of the masses in Figure 2, as well as being most robust with respect to sample definitions/selection, and further it has the most direct physical interpretation (as it is not degenerate with blue cloud evolution). Our conclusions here and subsequently, however, are unchanged regardless of the mass definition from Figure 2. The characteristic masses of red, blue, and all galaxies are nearly constant with redshift, with at most a marginal (~ 0.2 dex) increase from $z = 0-1$; inconsistent with their following the strong trend seen in M_{50} at $\gtrsim 10\sigma$ (independent of normalization). Likewise, comparing the shape of the rate of elliptical buildup in Figure 4 with these galaxy mass functions at the same redshift shows that they do not trace the same mass distribution as a function of redshift. We can therefore (perhaps unsurprisingly, given the definitions employed) rule out at high significance the hypothesis that “transition” mass objects are uniformly/randomly drawn from a “parent” population of normal galaxies of either early or late (or both) types.

It may appear that the strong trend in M_{50} (i.e. the mass above which the RS MF is $> 50\%$ assembled at z) is incompatible with the weak trend in M_* of red galaxies (as e.g. no change in M_* would imply uniform buildup of RS populations at all masses). This, however, is an artifact of the Schechter function fit. For example, given a local early-type Schechter

function MF with $\phi_* = \phi_0$, α , and $M_* = M_0$ and a similar MF at z with $\phi_* = \phi_z$, the same α (commonly assumed in fitting), and $M_* = M_0(1+\delta)$, one obtains

$$M_{50}(z) = M_0 \left(1 - \frac{1}{1+\delta}\right)^{-1} \ln \left[\frac{\phi_0}{2\phi_z} (1+\delta)^{\alpha+1} \right], \quad (5)$$

which is quite sensitive to δ and, for the observed values of $\phi_*(z)$, $M_*(z)$ (e.g., Borch et al. 2006) predicts a $\sim 1-2$ dex evolution in M_{50} similar to what we find.

In a more physical sense, the local RS MF is the sum of the RS MFs built up over various intervals, each of which resembles a Schechter function (see Figure 4). Consider the sum of two Schechter functions with identical α and ϕ_* , but one having lower M_* by 1 dex. Fitting this to a Schechter function over the range $M_* \pm 1$ dex (± 1.5 dex) yields a best-fit with M_* only lowered from the higher value by ~ 0.1 dex (0.05 dex). This owes to the steep fall in $\phi(M)$ at $M \gg M_*$, which forces the combined fit to retain the high M_* value. In further detail, if we imagine the rate of buildup $d\phi(M)/dt(z)$ (Figure 4) is a Schechter function with constant α and normalization, but an evolving $M_* = M_{50}(z)$ (adopting the best-fit trend $M_{50}(z)$ shown in Figure 5), then fit the integrated $\phi_{\text{early}}(M, z)$ to a Schechter function (fitting over the range $M_* \pm 1$ dex), we obtain only $\sim 0.15-0.20$ dex evolution in the early-type M_* from $z = 0$ to $z = 1$, despite the more than order-of-magnitude evolution in $M_{50}(z)$. Thus, although the strong evolution in the “transition” mass with redshift rules out its being representative of the general elliptical population, it is *not* inconsistent with the weak evolution in the early-type M_* , even if M_{50} does represent the characteristic mass at which “new” galaxies are being added to the red sequence. In other words, weak evolution in M_* of red galaxies does not rule out strong evolution in the characteristic masses being “built up” on or added to the

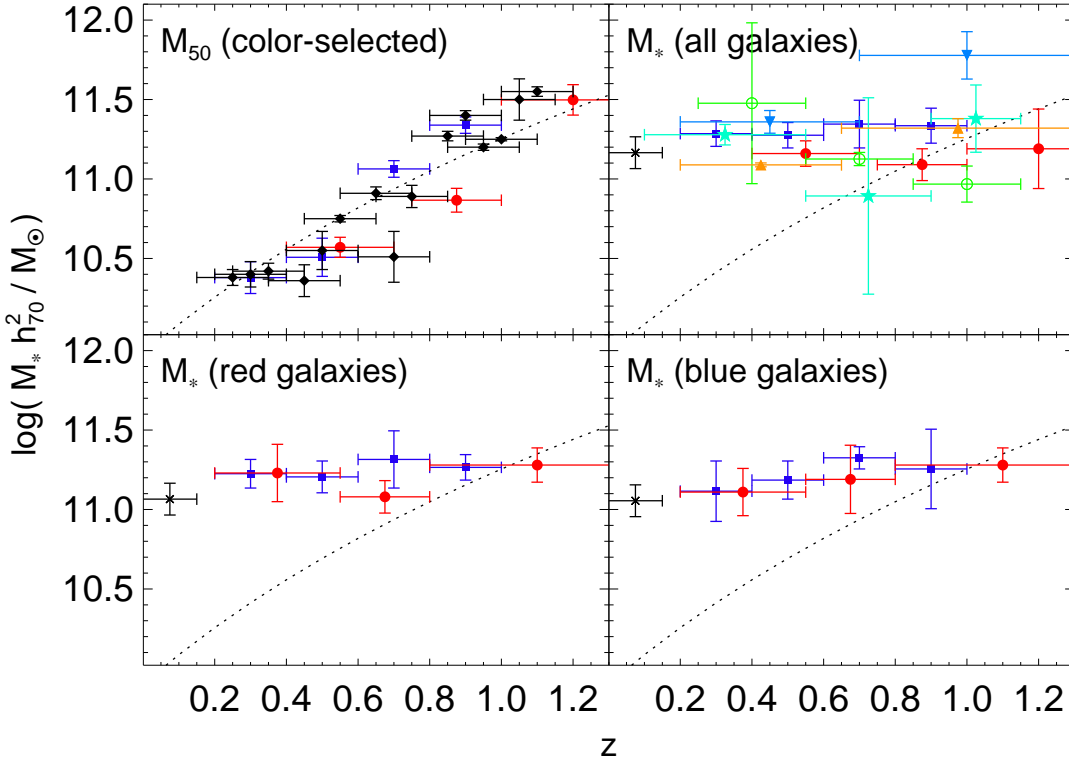


FIG. 5.— Comparison of the “downsizing” or “transition mass” with characteristic galaxy masses. Upper left shows M_{50} (points; from color-selected samples) and best-fit trend (dotted line) from Figure 2. Other panels show the best-fit Schechter function M_* to the all-galaxy mass functions, and color-selected red and blue galaxy mass functions (points as in Figure 2). The trend in M_{50} (and M_{tr} , M_Q) does not trace the all, blue, or red galaxy populations – i.e. “transition mass” systems are not uniformly drawn from any of these populations. Note that the trend in M_{50} is not incompatible with that in M_* of red galaxies, as the Schechter function parameterization is such that an order-of-magnitude change in M_{50} at a constant rate of elliptical “formation” implies only a ~ 0.1 – 0.2 dex change in M_* (which can be further offset by a small dry merger rate).

RS.

4. THE TRANSITION MASS AND MERGERS

We next consider observed merger MFs. We compile the local ($z \leq 0.2$) pair-selected major (within ~ 1 mag) merger luminosity functions from Xu et al. (2004, 2MASS) in K -band and Toledo et al. (1999) in B -band, as well as the morphologically identified merger/interacting galaxy luminosity functions from Brinchmann et al. (1998, CFRS+LDSS; $z \leq 1$) in B -band and Wolf et al. (2005, GEMS+GOODS; $z \sim 0.7$) in the near-UV (280nm), and mass functions from Conselice et al. (2003, 2005, HDF-N and HDF-S; $z \sim 1$ – 3) and Bundy et al. (2006, GOODS+DEEP2; $z \leq 1.4$). Where the MFs are not directly measured, we rescale the luminosity functions to mass functions using the mass-to-light ratios of ongoing mergers (as a function of M_*) from Hopkins et al. (2006d, see Table 1). These are calculated from the population synthesis models of Bruzual & Charlot (2003), given the distribution of star formation histories during mergers determined from several hundred numerical simulations that include star formation, supernova feedback and metal enrichment, and black hole accretion and feedback (see Hopkins et al. 2006d for details; this is essentially a second-order improvement on the typical empirically adopted simplified tau+burst models for these M/L). These should be reasonably robust: they have also been checked directly in the bands of interest here against the measurements of M/L ratios in local ULIRGs (Tacconi et al. 2002), pair samples (Dasyra et al. 2006), and recent merger

remnants (Rothberg & Joseph 2004), and give good agreement (Hopkins et al. 2006d, Figures 1 & 4). Furthermore, Hopkins et al. (2006d, Figures 8 & 9) demonstrate that they can be reliably used to convert merger luminosity functions to mass functions (in exactly this manner) for all samples above where both are measured. In any case, our subsequent results are unchanged (albeit their significance reduced given the limited data) if we consider only the morphologically identified, directly measured merger mass functions of Conselice et al. (2003, 2005) and Bundy et al. (2006).

Figure 6 shows several (the most well-constrained) of these MFs as a function of redshift, with the best-fit Schechter functions. Since constraints are weak at the faint end (and systematic uncertainties large; discussed below), we consider fits with fixed faint-end slopes α , as well as allowing α to vary. In all cases, there is a qualitatively similar trend for the Schechter function M_* to increase (by ~ 0.5 – 0.7 dex from $z = 0$ – 1). Direct comparison of the MFs demonstrates that this does not necessarily mean the number of mergers at the high-mass end increases monotonically with redshift (changes in ϕ_* can offset the increase in M_*); this does however imply that the *relative* merger history/MFs favors higher mass mergers at higher redshifts. Although it is not important for our comparison, there is also a marginal trend for ϕ_* of mergers to increase with redshift relative to ϕ_* of the entire galaxy population, but this trend is largely driven by the low ϕ_* from Xu et al. (2004).

Figure 7 plots these Schechter M_* values, as well as those determined from the other merger mass/luminosity functions

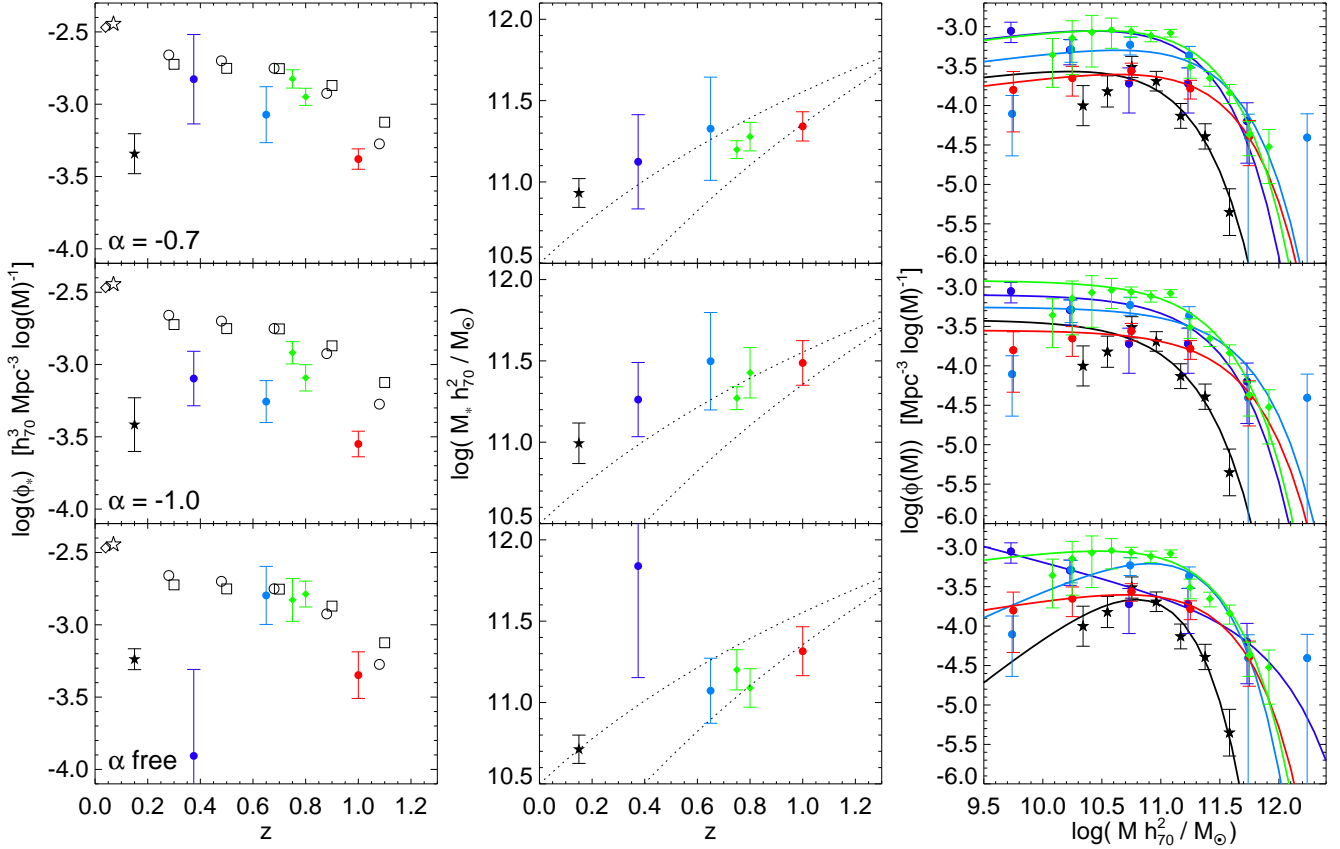


FIG. 6.— Comparison of observed merger mass functions from Xu et al. (2004, black stars) at $z \lesssim 0.2$, Bundy et al. (2005a, 2006, circles) at $z = 0.2 - 0.5$ (purple), $z = 0.5 - 0.8$ (blue), and $z = 0.8 - 1.2$ (red), and Wolf et al. (2005, green diamonds) at $z = 0.7 - 1.0$ (upper & lower z points from GEMS and GOODS, respectively). Panels show the merger mass functions (right), with best-fit Schechter functions (lines of corresponding color), and best-fit Schechter function ϕ_* (left) and M_* (center). Because constraints on the faint-end slope are weak, upper panels fix its value $\alpha = -0.7$, middle panels fix $\alpha = -1.0$, and lower panels allow α to be fit. Open points in the left panels show ϕ_* of the entire galaxy population as compiled in Faber et al. (2005) from Bell et al. (2003, SDSS; star), Madgwick et al. (2003, 2dF; diamond), Bell et al. (2004, COMBO-17; circles), and Willmer et al. (2006, DEEP2; squares). Dotted lines in center panels show the fitted $M_{50}(z)$ (lower) and $M_{tr}(z)$ from Figure 2 (color-selected). Regardless of the choice of α , there is a trend for the characteristic merger mass M_* to increase with redshift in a manner similar to M_{tr} . Interpretation of ϕ_* is more ambiguous, but there is a suggestion that it increases *relative* to the ϕ_* of the galaxy population.

we compile, as a function of redshift, compared to the characteristic “transition” mass (M_{50}). The characteristic masses from pair and morphologically-selected samples, as well as direct MFs, optical, near-IR, and near-UV luminosity functions agree surprisingly well at overlapping redshifts, at least up to the $z \gtrsim 2$ estimates which are strongly affected by cosmic variance (Conselice et al. 2005), which further suggests the typical merger M/L ratios used are reasonable. There is a significant ($> 3\sigma$) trend for the characteristic masses of mergers to increase with redshift. As with the “transition” mass population in Figure 5, this trend rules out at $> 3\sigma$ the hypothesis that mergers are randomly/uniformly drawn from the all or red galaxy population. Whether mergers uniformly trace the blue galaxy population is less clear; the values plotted are inconsistent with this hypothesis at $\sim 3.5\sigma$, but the trend alone (i.e. allowing for a systematic normalization offset) is inconsistent at only $\sim 2\sigma$. The values/trend of the merger MF M_* as a function of redshift are, however, similar and statistically consistent with M_{50} (and even more similar to M_{tr} and M_Q , see Figure 15).

We can consider in greater detail if observed merger mass/luminosity functions are consistent with the observed buildup of early-type populations by examining the complete mass functions as a function of redshift. Figure 8 reproduces Figure 4, but overlays the observed merger MFs from Fig-

ure 6 at the appropriate observed redshifts. Since there is considerable ambiguity in converting an observed merger MF to a merger *rate*, we renormalize (vertically only; i.e. divide out an appropriate timescale) the observed MFs arbitrarily such that we can focus here just on the unambiguous mass distribution (although we will consider the issue of absolute rates/normalization shortly). The agreement is striking: independent of the systematics in understanding merger rates, the observed distribution of mergers as a function of mass and redshift traces and is consistent with the buildup/addition of galaxies to the red sequence at all masses and redshifts observed.

Although systematically uncertain, we should also compare the implied merger rates (i.e. vertical normalization in Figure 8). In other words, even if the buildup of early-type populations traces the merger mass distribution, are there the appropriate total number/rate of mergers to account for the growth of the RS MF (assuming mergers are the agent of this “buildup”)? To estimate this, we assume every elliptical formation/addition event in Figure 4 indeed owes to a merger, which is observable as such for some amount of time t_{merger} (until morphological disturbances such as tidal tails fade beyond typical surface brightness limits). This yields the expected merger MF, $\phi(M_{\text{merger}}) \sim t_{\text{merger}} \dot{\phi}(M_{\text{gal}})$. Given the

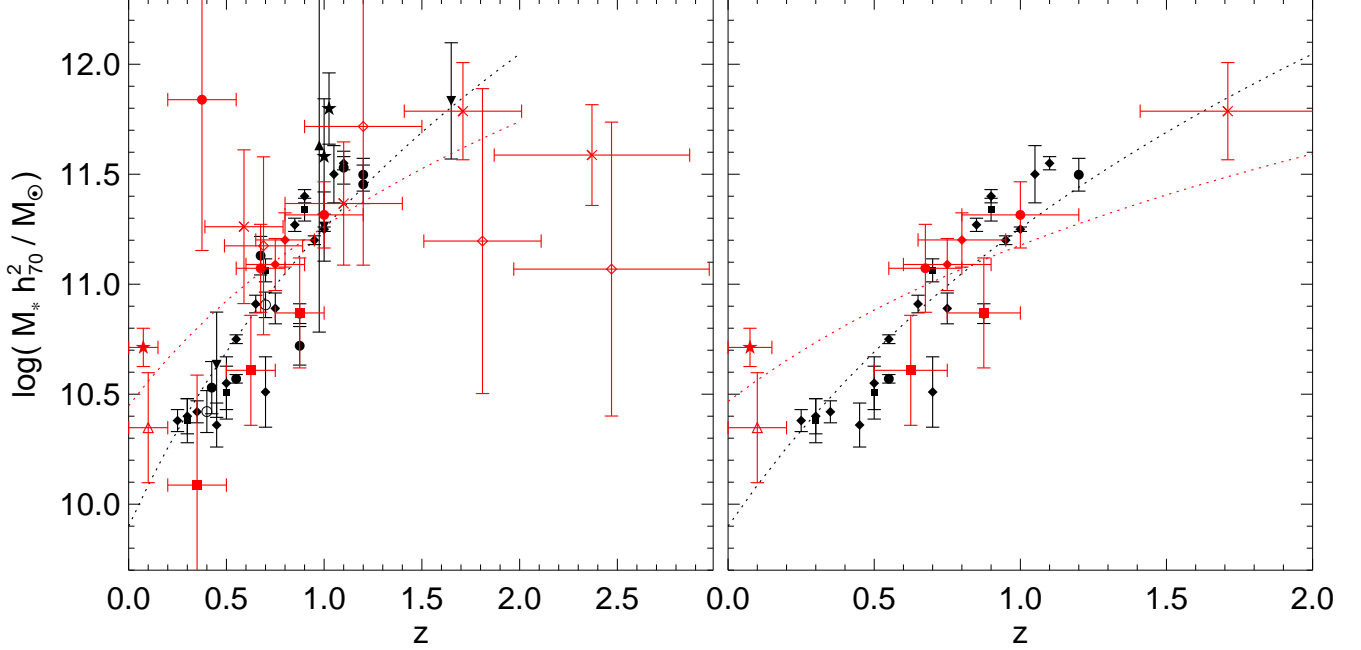


FIG. 7.— *Left*: “Transition” mass M_{50} from all sample selections shown in Figure 2 (black points in same style), and the best-fit trend with redshift (black dotted line), compared to the characteristic mass M_* from merger mass and luminosity functions (red points; see Figure 6), from Xu et al. (2004, stars), Wolf et al. (2005, filled diamonds), Bundy et al. (2005a, 2006, circles), Conselice et al. (2003, HDF-S, crosses; HDF-N, open diamonds), Toledo et al. (1999, triangles), and Brinchmann et al. (1998, squares), with the best-fit trend of the form $M_* \propto (1+z)^\alpha$ (red dotted line). The M_* values shown allow the merger mass function faint-end slope α to vary freely, but a similar result is obtained fixing α to match the early-type or all-galaxy values (see Figure 6). *Right*: Same, but for clarity, only M_{50} from color-selected samples and the best-constrained $1/2$ of merger M_* values are shown. Despite the small sample sizes, the characteristic mass of merger mass functions increases with redshift at $> 3\sigma$ (implying mergers are not simply drawn from the approximately constant M_* all, blue, or red galaxy populations) and is consistent with the value and evolution of M_{50} as a function of redshift, as in Figure 8.

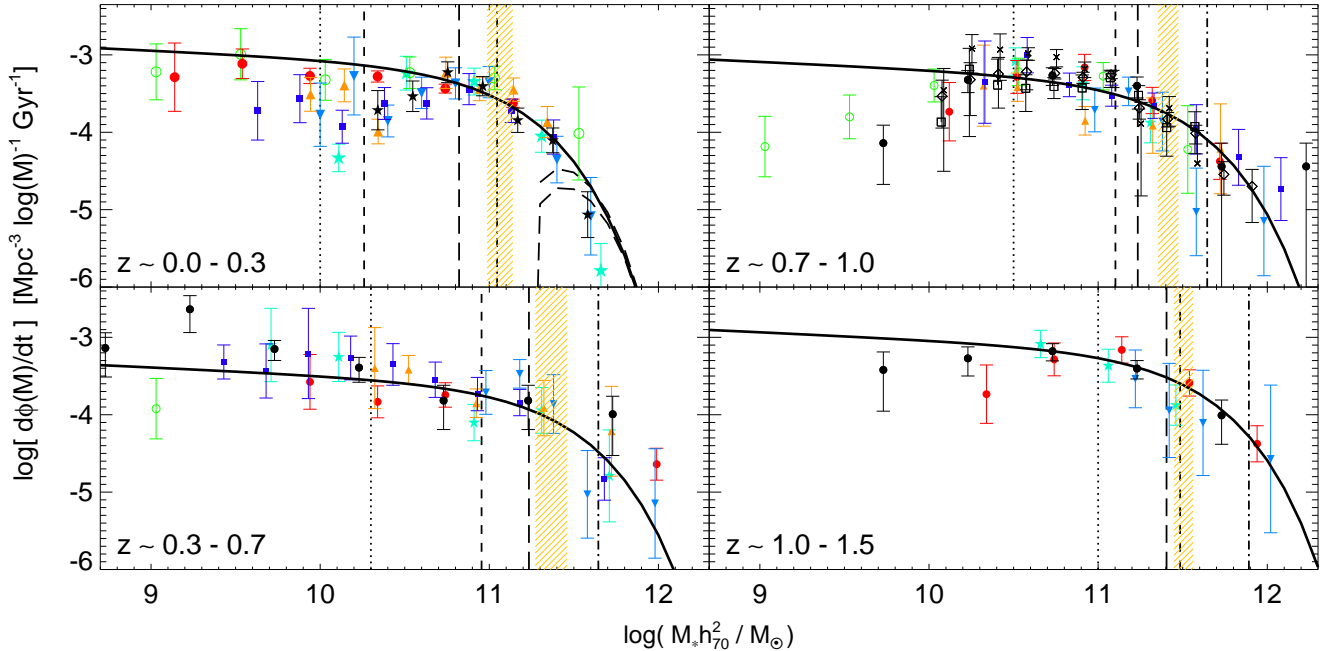


FIG. 8.— Time-averaged rate of buildup of early-type populations, as in Figure 4, but with the observed merger mass functions from Figure 6 overlaid (black points, style as in Figure 6). Merger mass function points at $z \sim 0.7 - 1.0$ from Wolf et al. (2005) show the effects of systematic differences in imaging depth and survey area, from GEMS (squares), GOODS (\times 's), and GEMS+GOODS (diamonds). Since the merger *timescale* is observationally undetermined, we compare the mass *distribution* in mergers and the early-type buildup (i.e. merger mass function are renormalized for direct comparison). The time-averaged buildup of elliptical populations traces a similar mass distribution to that of observed merger populations at all redshifts observed, and not the same mass distribution as that of the all, red, or blue galaxy population as a function of redshift (compare Figure 5).

galaxy MFs from which we calculated these rates in the first place, it is then trivial to estimate the expected merger fraction as a function of mass.

Figure 9 compares this estimate, adopting a characteristic $t_{\text{merger}} = 0.5 \text{ Gyr}$, with observed merger fractions as a function of mass and redshift. This timescale is roughly expected from numerical simulations of mergers (e.g., Robertson et al. 2005a), dynamical friction considerations (Patton et al. 2002), or more detailed estimates of observational selection effects as a function of merger stage (see, e.g., Hopkins et al. 2006d, for a detailed discussion of these issues). We consider the merger fraction above two representative stellar mass limits, $M_* > 10^{10} M_\odot$ and $M_* > 10^{11} M_\odot$, but caution that these are not, for the most part, mass-selected samples, so the mass limits shown in Figure 9 are only broadly applicable. We calculate the merger fraction as a function of mass directly from the merger mass functions of Xu et al. (2004); Wolf et al. (2005); Bundy et al. (2005a) shown in Figure 6, at redshifts where the samples are complete to the given mass limit (this essentially excludes the $z \gtrsim 1$ Bundy et al. (2005a) merger mass function).

The observed merger fractions are consistent with this estimate at all redshifts. The buildup of elliptical populations does suggest that merger fractions should increase as a function of redshift, but we note that the effect is quite weak. If, for example, the characteristic merger timescale decreases with redshift in the same manner as halo dynamical times (at fixed mass), $\propto (1+z)^{-3/2}$ (decreasing the expected merger fraction we calculate by this amount), the expected increase in merger fraction with redshift becomes marginal (factor $\lesssim 2$ by $z \sim 1.5$). Both cases, however, are consistent with the present observations (at these redshifts, within the factor ~ 2 typical uncertainties). There is also marginal evidence for steeper evolution in merger fractions with redshift in the higher-mass cut we consider, evidence for which is also seen in e.g. Conselice et al. (2003, 2005), but we caution both that this trend appears only when different samples are combined, and that it will be “washed out” by the increasing importance of dry mergers at high masses and low redshifts (see also Figure 13). Future studies which can separate gas-rich and gas-poor merger populations and track the merger fraction as a function of redshift and mass can provide a substantially stronger test of these trends.

Caution regarding systematic uncertainties in merger populations is still warranted. Le Fèvre et al. (2000) find that pair and morphological selection criteria yield similar results, but Lin et al. (2004) see significant disagreement, which may be the result of systematic identification of “false” (i.e. non-merging) pairs at low redshift (Berrier et al. 2006). Fortunately, the characteristic merger mass (or luminosity) does not appear to change dramatically with selection method even though the time spent in a given phase (and thus ϕ_* or merger fraction) may. For example, the data of Lin et al. (2004) and Conselice et al. (2003) do yield a similar characteristic merging galaxy luminosity L_* , despite finding different merger fractions. One might also wonder whether the natural tendency of a flux-limited sample to select brighter systems at higher redshift might lead one to infer an increasing mass scale regardless of the underlying mass distribution. However, Figure 6 demonstrates that the completeness limits for most of the samples we consider are generally well below the “transition” mass, and similarly below the break in the corresponding mass function. Further, although Wolf et al. (2005) find

that the observed number of faint mergers depends on selection effects (see Figure 8; which shows the increase in number of low-mass mergers when increasing imaging depth and decreasing field size by an order of magnitude, from GEMS to GOODS), this does not significantly effect the merger M_* or change our comparison in Figure 8. Still, these effects must be accounted for in any comparison of fitted MFs.

It is furthermore true that the exact appropriate value of the duration of observable merger activity (t_{merger}) is not well-determined, and will in detail depend on the sample, mass limit, and redshift, but for our purposes these effects (amounting to a systematic factor ~ 2 uncertainty) are generally comparable to or smaller than the scatter in the observations in Figure 9. Preliminary estimates of the observable merger timescale based on comparison with automated nonparametric classification schemes (Lotz et al. 2004) suggest, perhaps surprisingly, relatively weak trends with redshift (at least at $z \lesssim 2$; see the discussion in Hopkins et al. 2006d), but lacking a complete cosmological framework from which to predict observable merger properties, Figure 9 should be taken with the strong caveat that the relative normalizations of galaxy buildup and observed mergers depends systematically on t_{merger} .

5. THE TRANSITION MASS AND E+A GALAXIES

Detailed studies of “E+A” (or “K+A”) galaxies (Dressler & Gunn 1983), with characteristic post-starburst stellar populations indicating a substantial but rapidly quenched star formation epoch in the last $\sim 0.1 - 0.5 \text{ Gyr}$ (Caldwell et al. 1996; Couch & Sharples 1987; Quintero et al. 2004), have found ubiquitous evidence of morphological disturbances and tidal tails (Schweizer 1996; Blake et al. 2004; Goto 2005), which together with their environmental (e.g., Zabludoff et al. 1996; Goto 2005) and structural/kinematic properties (Kelson et al. 2000; Norton et al. 2001; Tran et al. 2003; van der Wel et al. 2004) imply their formation in mergers and evolution into typical early-type galaxies. We therefore consider whether E+A galaxies, presumably recently formed in mergers, trace any “downsizing” trend.

Figure 10 compares M_{50} and the masses of observed E+A galaxies as a function of redshift. At low redshift, sizable samples exist, and we show the characteristic Schechter function M_* of E+A populations. At higher redshift, samples are extremely limited, and we can only plot the masses of individual systems. The points as plotted appear to “downsize,” as noted in Tran et al. (2003), but this trend could well be completely driven by survey flux limits. Lacking volume-limited samples or complete E+A MFs at high redshift, we can only presently say that the E+A data are not *inconsistent* with the downsizing in the “transition” mass or any of the other hypotheses considered herein.

6. THE TRANSITION MASS AND QUASARS

6.1. The QLF Break Expected from the Transition Mass

If the formation/movement of galaxies on the RS is associated with a quasar “trigger” (for example, through quasar feedback being an agent of reddening, or both being associated with a merger), then the observed quasar luminosity function (QLF) should reflect the rate of elliptical formation/buildup shown in Figure 4 – indeed, in such a model, each quasar “broadcasts” a galaxy moving to/forming on the red sequence. (If the quasar “lifetime” were of order the

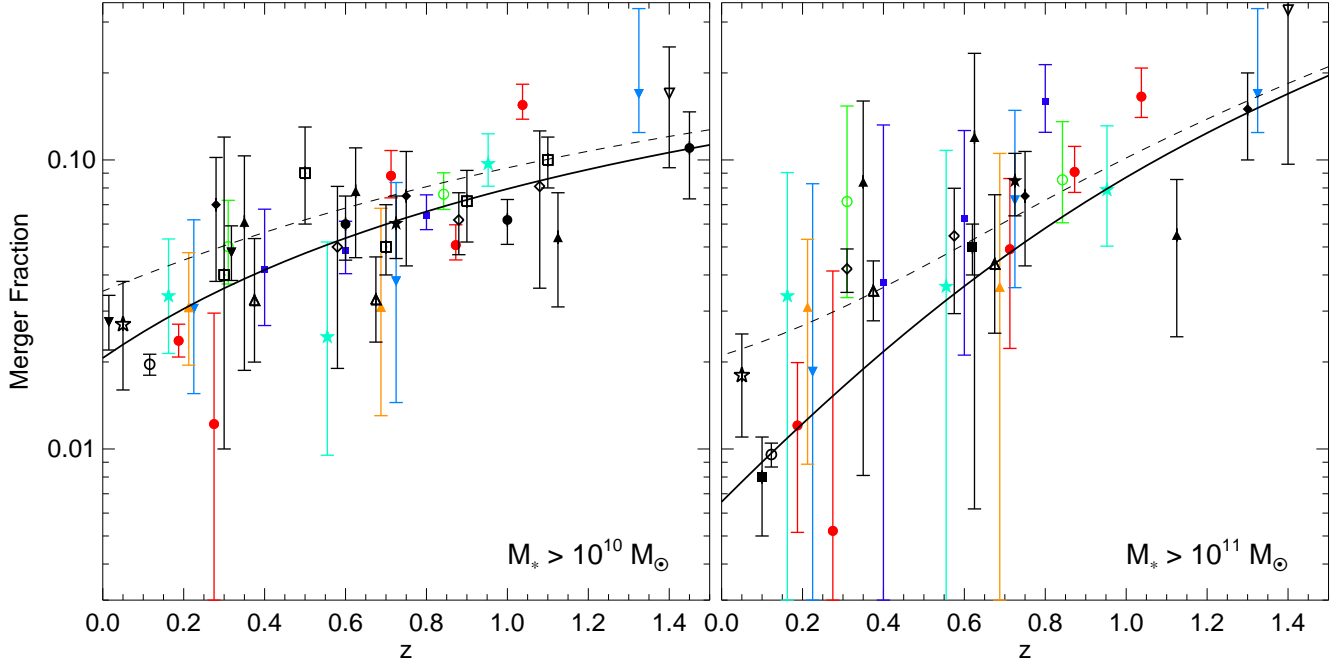


FIG. 9.— Expected merger fraction as a function of redshift, if the buildup of the early-type mass functions in Figure 6 is entirely a result of mergers moving galaxies onto the red sequence (colored points as in Figure 6). Black points show observed merger fractions as a function of redshift, from Patton et al. (2002, filled inverted triangles), Conselice et al. (2003, filled circles), Bundy et al. (2004, filled triangles), Lin et al. (2004, open diamonds), Xu et al. (2004, open stars), De Propriis et al. (2005, open circles), Cassata et al. (2005, filled diamonds), Wolf et al. (2005, filled stars), Bundy et al. (2005a, open triangles), Lotz et al. (2006a, open inverted triangles), Lotz et al. (2006b, open squares), and Bell et al. (2006b, filled squares). Results are shown for two (approximate) minimum stellar mass limits, as labeled. Solid line shows the expected gas-rich merger fraction if all bright quasars are triggered in mergers, using the same modeling from Figure 12 to determine the quasar-parent mass function from the observed quasar luminosity function. Dashed line adds a constant fraction (observed 0.015; Bell et al. 2006a; Lotz et al. 2006b) of dissipationless (spheroid-spheroid) mergers. An observable merger timescale of 0.5 Gyr is assumed. The expected merger fractions from the observed buildup of early-type mass functions and the quasar luminosity function agree reasonably in their normalization and evolution with observed merger fractions. There are sufficient mergers to account for both populations, and little room for a large fraction of mergers which do not produce a remnant elliptical or trigger quasar activity. Dissipationless mergers are generally a relatively small effect as is observed, but may be important for the buildup of the most massive systems at low ($z \lesssim 0.5$) redshifts.

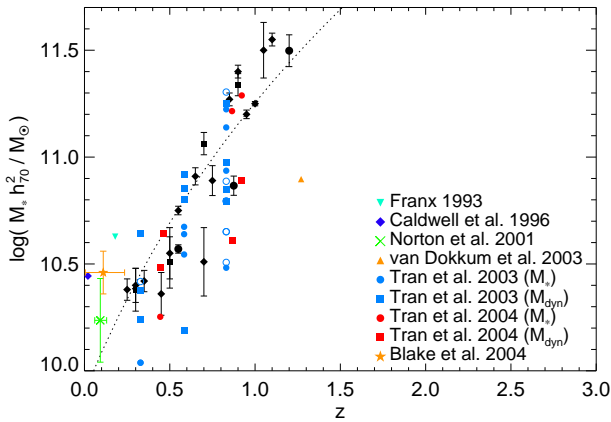


FIG. 10.— Observed mass of E+A galaxies (colored points, as labeled) compared to the transition mass M_{50} (black points, as in Figure 7, from color-selection) as a function of redshift. We show the fitted M_* of the E+A mass function where available (points from Norton et al. (2001); Blake et al. (2004) with error bars, as labeled), but owing to limited samples otherwise show the masses of individual E+A galaxies observed in clusters (Franx 1993; Caldwell et al. 1996; van Dokkum & Stanford 2003, both stellar and dynamical masses from Tran et al. (2003) are shown, as labeled) and in the field (Tran et al. 2004). The masses of E+A galaxies appear to trace the characteristic masses of mergers and the transition mass as a function of redshift, but lacking larger samples at $z > 0$ from which to determine a full E+A mass function, it cannot be determined whether or not this is merely a selection effect.

Hubble time, of course, then the QLF would reflect the integrated/established early-type population, but observations constrain it to be much less at all redshifts, e.g. Martini 2004; Hopkins, Narayan, & Hernquist 2006; such that the QLF tracks the rate of “triggering.”)

It is straightforward to compare these. A spheroid of mass M_* hosts a black hole of mass $M_{\text{BH}} = \mu M_*$ ($\mu \approx 0.001$; Magorrian et al. 1998; Marconi & Hunt 2003), confirmed by direct observations at all redshifts of interest ($z \lesssim 2$; Shields et al. 2003; Peng et al. 2006; Adelberger & Steidel 2005a). A “quasar” event is essentially defined by “ignition” of the black hole for a brief time ($t_Q \lesssim 10^7$ yr from various observations; see Martini 2004, and references therein) near the Eddington limit $L = 3.3\lambda \times 10^4 L_\odot (M_{\text{BH}}/M_\odot)$ (where $\lambda = L/L_{\text{Edd}} \approx 1$; e.g., McLure & Dunlop 2004; Kollmeier et al. 2005). Thus, the formation or movement to the RS of a spheroid of mass M_* would be associated, in this scenario, with a short-lived quasar of luminosity

$$\frac{L_{\text{bol}}}{L_\odot} = 33 \left(\frac{\lambda \mu}{0.001} \right) \frac{M_{\text{host}}}{M_\odot}. \quad (6)$$

This simple effective conversion for bright quasars is supported by both numerical simulations of quasars and galaxy mergers (Hopkins et al. 2006b) and direct comparison of quasar and host galaxy luminosities (Vanden Berk et al. 2006; Peng et al. 2006; Richards et al. 2006b). There is, of course, some uncertainty and observed scatter in the host galaxy-BH mass correlation and bright quasar Eddington ratios, but it is constrained to a factor ~ 2 , comparable to the uncertainty in

the observed M_{tr} .

If this is the dominant mode of quasar triggering, then although the exact normalization of the QLF (number of observed quasars) will depend on the “duty cycle” δ and quasar lifetime t_Q , the break M_* in the host/source mass function (break in the rate of “formation” of early-type galaxies calculated in Figure 4) will translate directly to a break L_* (from Equation 6) in the QLF. If δ and/or t_Q are complicated functions of mass, luminosity, or redshift, they might change the slopes of the resulting QLF, but will not move the break location L_* . Thus, L_* directly tracks the characteristic mass of the host population. Put another way: essentially all observed $L \gtrsim L_*$ quasars have $\lambda \sim 1$, i.e. define a characteristic active black hole mass $M_{BH} \propto L_*$, and since $M_* \sim M_{BH}/\mu$ at all redshifts of interest, the characteristic host mass M_* of quasars is well-defined at each z .

Figure 11 compares the QLF characteristic luminosity expected (Equation 6) from objects of the “transition” mass (M_{50}) and the observed characteristic QLF “break” luminosity L_* as a function of redshift. The break L_* is determined in the standard fashion, fitting the observed QLF at each redshift to a double power-law. We show L_* measured from optical, soft X-ray, and hard X-ray studies, each converted (to enable direct comparison) to a bolometric luminosity L using a standard observationally-derived bolometric correction (template quasar SED) and reddening correction (Hopkins, Richards, & Hernquist 2007, and references therein; note that adopting the less recent bolometric corrections from Elvis et al. 1994 or Marconi et al. 2004 yields nearly identical results). We also show the break determined by Hopkins, Richards, & Hernquist (2007, see their Table 2), who compile a large number of QLF measurements through the mid and near-IR, optical, near-UV, soft and hard X-ray, and soft gamma ray and use these to directly determine the bolometric QLF. In any case, the observed L_* is robust; in fact, the (typical factor ~ 2) discrepancies in L_* owe mostly to the data binning and fitting function, and a direct comparison of the data in Hopkins, Richards, & Hernquist (2007) shows they trace a similar turnover/break. We also note that the existence of a break is unambiguous (detected at $\gg 10\sigma$ in most of the samples in Figure 11), regardless of whether it is sharp (as expected for a double power-law fit) or exhibits some higher-order curvature (as for a Schechter function; e.g., Wolf et al. 2003; Richards et al. 2005). It is unaffected by questions of completeness, as the X-ray surveys are typically complete to ~ 2 orders of magnitude in luminosity below L_* (e.g., Hasinger, Miyaji, & Schmidt 2005). The break luminosity also increases with redshift (at least to $z \sim 2$), as has long been recognized in quasar surveys (recently, e.g. Boyle et al. 2000; Ueda et al. 2003; Croom et al. 2004; Richards et al. 2005; Hasinger, Miyaji, & Schmidt 2005, significant in each case at $\gg 6\sigma$), regardless of higher-order subtleties implied by “luminosity-dependent density evolution” (e.g., Hasinger, Miyaji, & Schmidt 2005, and references therein) models and other changes in the detailed QLF shape as a function of redshift (e.g., Richards et al. 2006a; Hopkins, Richards, & Hernquist 2007).

That the expected L_* from M_{50} agrees with the observations is not surprising. Hopkins et al. (2006d) combined observed merger mass functions with a large suite of corresponding hydrodynamical merger simulations (Robertson et al. 2005b) including black hole accretion and feedback, to calculate what the resulting merger-driven QLF should be in each band at each corresponding redshift. Their predicted merger-driven

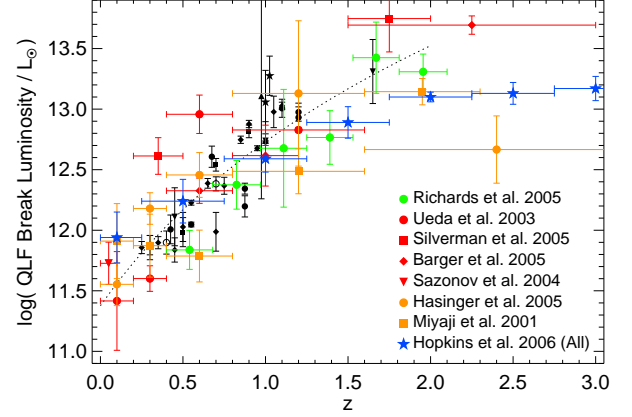


FIG. 11.— Predicted location of the quasar luminosity function (QLF) break L_* as a function of redshift, from the observed transition mass (black points show M_{50} as in Figure 2, from all samples; dotted line shows best-fit trend), compared to the observed QLF break (colored points) from various studies. The mass M_{50} is converted to a luminosity assuming that the characteristic luminosity L_* of quasars traces their host masses, since these objects are all observed to be near-Eddington and the black hole-host mass relation evolves weakly with redshift to $z \lesssim 1$ (alternatively, convolving the mass distribution being “added” to early-type populations in Figure 4 with some probability of seeing the black hole in each system at a given luminosity extending to $\sim L_{Edd}$ yields a similar L_*). The QLF measurements shown from hard X-ray (red), soft X-ray (orange), and optical (green) are converted to bolometric luminosities with the observationally determined bolometric and dust corrections in Hopkins, Richards, & Hernquist (2007). The bolometric break luminosity directly fitted in Hopkins, Richards, & Hernquist (2007) from the compilation of the samples shown and ~ 30 other measured quasar luminosity functions (see references therein) is also shown (blue). The observed break luminosity from all samples is consistent with the expectation of any model in which the objects in Figure 4 (objects being added or moving to the early-type population) are associated with quasar “triggers,” with the black holes appropriate for their stellar mass $M_{50}(z)$ briefly accreting near Eddington.

QLF agrees well ($\chi^2/\nu \lesssim 1$) with that observed at every redshift at which this comparison is possible, and the inverse (predicted merger MF from the QLF) also agrees well with the observations. Since we have shown that the buildup of the elliptical MF and M_{50} trace a similar mass distribution to mergers, it is expected that the resulting L_* will agree with the QLF. However, our comparison here, unlike Hopkins et al. (2006d), is model-independent, based only on the well-determined black hole-host mass relation and Eddington limit.

6.2. The Transition Mass from the QLF Break

In Figure 12 we invert this comparison, and estimate the characteristic “transition” mass expected based on the observed QLF. We first show points as in Figure 11, estimating a characteristic host mass from the QLF L_* (inverting Equation 6). However, a proper calculation is not so trivial, as in detail M_{50} will be determined by the integrated buildup of the early-type MF (and late-type MF for M_{tr} , M_Q), and therefore requires that we adopt some model for quasar light curves and triggering. Hopkins et al. (2006a,b) use a large set of several hundred hydrodynamical simulations (Robertson et al. 2005a,b) of galaxy mergers, varying the relevant physics, galaxy properties, orbits, and system masses, to quantify the quasar lifetime (and related statistics) as a function of the quasar luminosity. They define the quantity $t_Q(L|M_{BH})$, i.e. the time a quasar of a given BH mass (equivalently, peak quasar luminosity $t_Q(L|L_{peak})$) will be observed at a given luminosity L . They further demonstrate that this quantity is robust across the wide range of varied physics and merger properties; for example, to the extent that the final BH

mass is the same, any “major” merger of sufficient mass ratio (less than $\sim 5:1$) will produce an identical effect. Given the tight black hole-host mass relation ($M_{\text{BH}} - M_*$), it is trivial to write this as $t_Q(L|M_*)$. Since at all L , $t_Q \ll t_H$ (the Hubble time), the observed QLF $\phi_Q(L)$ is given by

$$\phi_Q(L, z) = \int t_Q(L|M_*) \frac{d\Phi(M_*, z)}{d\log M_*} d\log M_*, \quad (7)$$

where $d\Phi(M_*)/d\log M_*$ (hereafter $\dot{\phi}(M_*, z)$) is the rate of quasar “triggering” as a function of host spheroid mass at a given redshift. If the “trigger” is associated with formation of the spheroid or movement of a galaxy to the RS, then $\dot{\phi}(M_*, z)$ is the rate of buildup of the RS as a function of mass, directly comparable to that in Figure 4.

Knowing $\phi_Q(L)$ directly from observations and adopting the Hopkins et al. (2006a,b) $t_Q(L|M_*)$ (which is at least consistent with all quasar observational constraints), the inversion of Equation (7) yields $\dot{\phi}(M_*, z)$. Hopkins, Richards, & Hernquist (2007) perform this inversion, using their large compilation of observed $\phi_Q(L)$, and quote the best-fit $\dot{\phi}(M_*, z)$ (Table 5). We adopt their best-fit model for each redshift interval (individually; although a global fit yields similar results). If, again, this represents the buildup of the RS, then we can integrate from $z \rightarrow \infty$ to obtain the RS MF at all redshifts and calculate $M_{50}(z)$. The late-type MF is reasonably well-measured over the range of interest, so comparing it with this integration also yields an expected M_{tr} and M_Q . We compare these estimates with the observed M_{50} in Figure 12, and find they agree at all observed redshifts.

Having obtained the rate of “buildup” of early type MFs expected if each quasar “trigger” is associated with the formation/movement of a RS galaxy, we can directly compare with the rate of buildup implied by observed early-type MFs. Figure 13 plots the time-averaged buildup determined from the QLF, from the same $\dot{\phi}(M_*, z)$ as Figure 12, compared with the observed buildup from Figure 4. We consider both the mean time-averaged buildup (averaged over each appropriate redshift interval) assuming each quasar “trigger” is instantaneously associated with the movement of a galaxy to the RS, and that expected if there is a uniform ~ 1 Gyr delay after each quasar before the galaxy becomes red (allowing time for e.g. gas exhaustion and reddening). In either case, this estimate agrees with the observed “buildup” of elliptical populations, at all masses and redshifts ($\chi^2/\nu \sim 1$ at all $z \gtrsim 0.3$). The latter (1 Gyr delay) case gives marginally better agreement, but the difference between the two is comparable to the uncertainties in either determination of $\dot{\phi}(M_*, z)$ (see Figure 12). At the highest masses at low redshifts ($z \lesssim 0.3$; although also to a lesser extent at $z \sim 0.3 - 0.7$), this estimate falls short of observed rates of “buildup.” However, this is precisely where we have estimated that observed rates of “dry” mergers can account for early-type growth. Since gas-free mergers are not expected to trigger quasar activity, it is not surprising that this would not be implicit in quasar luminosity functions. Allowing for the contribution of dry mergers shown at $z \lesssim 0.3$ improves the agreement considerably ($\chi^2/\nu \sim 2$). There is still some tension matching the observations near $10^{11} M_\odot$, but it is important to note that at these redshifts, the cosmic variance associated with small volume, narrow-field galaxy surveys and, perhaps more importantly, with even wide-field quasar surveys (given the very low local space density of quasars), is largest.

Having estimated the rate of quasar “triggers,” $\dot{\phi}(M_*, z)$,

in Figure 13, then if each such “trigger” is in fact a galaxy merger, we can convert this to an expected merger fraction in exactly the same manner as we converted the rate of early-type “buildup” in Figure 4 (i.e. simply assuming an observable merger timescale t_{merger}). This is shown in Figure 9, along with the observed additional contribution from dry mergers. Given the agreement with the rate of elliptical “buildup” in Figure 13, it is not surprising to find this agrees with observed merger fractions.

As a caution, we should note that these calculations can give a misleading result if the full luminosity dependence of the quasar “lifetime” from simulations (Hopkins et al. 2005a,b, 2006b) and observations (e.g., Adelberger & Steidel 2005b; Volonteri et al. 2006) is not properly taken into account. Such a case is not, of course, well-motivated physically, although it may represent alternative quasar feedback models (or a complete lack of such feedback), but it is nevertheless sometimes adopted for simplicity. Why should such a simplified model give a qualitatively different result? In the $t_Q(L|M_{\text{BH}})$ model we consider, t_Q is larger at low luminosities, because low-level AGN activity can persist for a long time after the violent, sudden high-accretion rate episode in a merger. Ignoring this luminosity dependence and assuming, e.g. that all quasars turn on and off (as “light-bulbs”) for a short time implies that all observed quasars, even those at very low luminosity, are seen at (or very near) their “trigger,” i.e. are in *ongoing* mergers. This gives a misleading estimate of the number of mergers needed to account for the QLF, and as a result yields an incorrect estimate of host luminosity functions and black hole mass functions (e.g., Hopkins et al. 2006b,d), as well as, consequently, erroneous estimates of the associated “transition” mass.

6.3. Further Tests of This Association

Having considered the Hopkins et al. (2006b) models of merger-triggered quasar lightcurves, we briefly note additional future tests of these models and the generic association between the blue-red transition or elliptical formation and quasar activity. In Figure 14 we compare the observed QLF with the expected “conditional” QLF, i.e. the contribution to the QLF from hosts/merger remnants with different masses relative to the observed “transition” mass. In other words, the contribution

$$\Delta\phi(L) = t_Q(L|M_*) \dot{\phi}(M_*, z) \Delta\log M_* \quad (8)$$

from Equation (7). The QLF near L_* corresponds to objects with $M_* \sim M_{50}$. At the faintest and brightest luminosities, there are contributions from smaller and larger hosts, respectively (and a significant fraction of objects at the lowest luminosities will not necessarily be merger-triggered; Hopkins & Hernquist (2006)), but it is clear from the figure that a direct measurement of the host masses of characteristic quasars at z should find their hosts dominated by objects with $M_{\text{host}} \sim M_{tr}$ or M_{50} , many of which should appear as relatively young ellipticals, if this picture is correct.

In this scenario, one might also expect that objects around the “transition” mass preferentially host AGN. Since Equation (7) implicitly defines the probability to see a galaxy with mass M_* (BH mass $M_{\text{BH}} \sim \mu M_*$) at luminosity L , we can estimate the fraction of such galaxies with a given Eddington ratio. Figure 14 plots the fraction of galaxies hosting an AGN with an accretion rate (relative to Eddington) $\dot{m} > 0.1$ as a function of galaxy stellar mass at several redshifts, compared to the observed “transition” mass at that z . (Note the actual

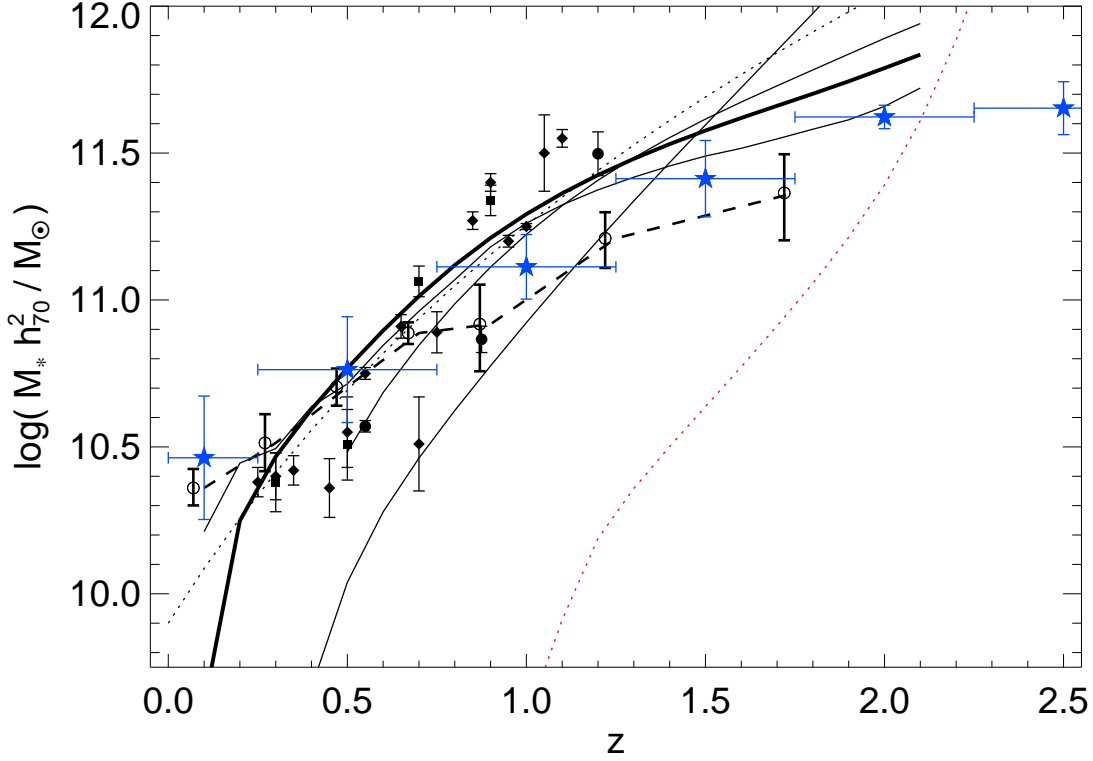


FIG. 12.— Observed “transition mass” (black points show M_{50} from Figure 2, from color-selected samples only, for clarity; dotted black line shows best-fit trend) compared with that predicted from quasar luminosity functions. Blue stars show the observed QLF characteristic luminosity L_* from Hopkins, Richards, & Hernquist (2007) (see Figure 11, other samples not shown for clarity but trace a similar trend) directly converted to a characteristic “associated” mass given the observed Eddington ratios and black hole-host mass relation at these redshifts. Solid lines show the prediction of the simple assumption that the *initial* trigger of each bright quasar is associated with the formation/addition of an early type galaxy. In detail, the compilation of quasar luminosity function data from $z = 0-6$ in Hopkins, Richards, & Hernquist (2007, and references therein) is converted therein to a mass-dependent “rate” of early-type formation/addition, given this assumption and the model Eddington ratio distributions (light curves) from Hopkins et al. (2005a-e, 2006a-d). Thin lines use different model fits of this “rate” to the QLF data (and give an approximate idea of the uncertainties in this empirical modeling) to calculate M_{50} , with the thick line adopting the best-fit to the quasar data (see Hopkins, Richards, & Hernquist 2007, Table 5). Open circles and dashed line calculate M_{tr} (adopting the observed late-type galaxy mass functions from Bell et al. (2003, $z=0.05$), Borch et al. (2006, $z=0.2-1.0$), and Fontana et al. (2004, $z=1.0-1.7$)) instead of M_{50} , with the same method. Red dotted line calculates M_{50} in this manner, but instead adopts an unphysical “light bulb” quasar light curve model (alternatively, this assumes that all observed low-luminosity quasars are in *ongoing* mergers/quenching). As in Figure 11, the QLF break appears to trace the same parent population mass and evolution with redshift as M_{tr}/M_{50} , consistent with quasar *triggering* and the buildup of black hole mass being associated with the buildup of spheroid populations.

AGN luminosity will be $\dot{m}L_{\text{Edd}}(M_{\text{BH}})$.) This particular prediction is sensitive to the different fits to the “triggering” rate $\dot{\phi}(M_*, z)$ provided in Hopkins, Richards, & Hernquist (2007), especially at low mass ($M \ll M_{50}$), but the trend that the peak/turnover in this distribution tracks the “transition” mass is robust.

7. THE TRANSITION MASS AND THE HALO QUENCHING MASS

In most semi-analytic models, gas infalling in dark matter halos is shock-heated to the virial temperature, and, in low mass halos, subsequently cools on a short timescale, allowing rapid accretion onto the central halo galaxy and defining a “rapid cooling” or “cold accretion” regime. However, in massive halos, the cooling time is longer and gas forms a quasi-static “hot” halo, defining a “static hot halo” or “hot accretion” regime (e.g., Rees & Ostriker 1977; Blumenthal et al. 1984). More recently, it has been suggested that the transition between these regimes is sharp, near a halo mass $M_{\text{crit}} \sim 10^{12} M_{\odot}$ (although this number is uncertain by a factor of several) at low redshift (Birnboim & Dekel 2003; Kereš et al. 2005), and that suppression of future cooling and accretion is very efficient, essentially “cutting off” all gas supplies above this mass (e.g., Dekel & Birnboim 2006) and “quenching”

star formation.

The shock-heating of infalling gas need not be the *specific* physical agent of this “quenching”: for example “radio mode” or low-luminosity, continuous AGN feedback (Croton et al. 2006; Cattaneo et al. 2006) or cyclic, short-lived quasar activity (Binney 2004) may be invoked to maintain the gas in the “hot” phase. There is therefore a potentially important distinction between semi-analytic models (SAMs) which assume that the feedback mechanism is “at ready,” such that upon crossing the critical mass M_{crit} , star formation and gas accretion onto the central galaxy is *instantaneously* terminated, and those that require some additional mechanism or process (such as the formation of a relatively massive bulge and black hole) to drive the blue-red transition and transformation/movement of galaxies to the RS.

This essentially relates to the important distinction, discussed in § 1, between the mechanism by which galaxies *become* red/elliptical and that by which they *maintain* their colors/low star formation rates. The key value of invoking this “hot accretion” regime in SAMs has been the ability to suppress star formation on timescales of order the Hubble time. However, although this could, in principle, be *necessary* to yield red galaxies at $z = 0$, it does not automatically follow

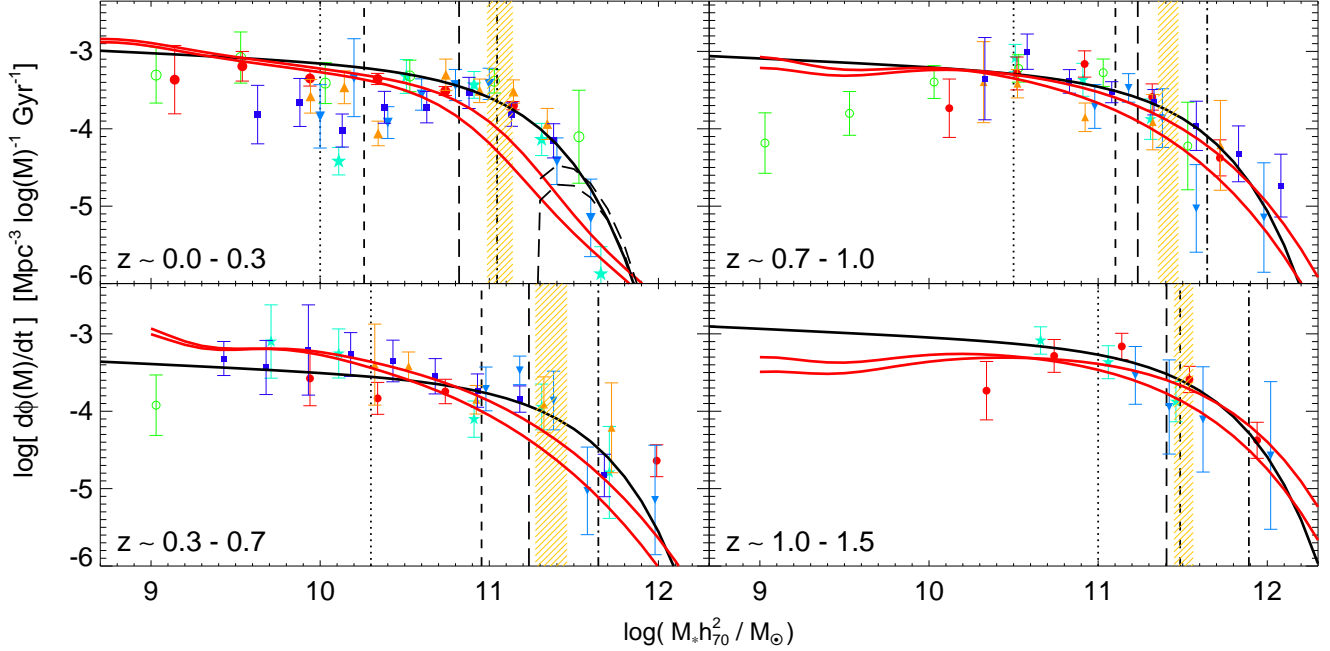


FIG. 13.— The time-averaged rate of buildup of early-type populations, as in Figure 4, compared to that implied by the quasar luminosity function (QLF) if every quasar “trigger” is associated with the movement/formation/transition to the red sequence of a corresponding ($M_* \sim 10^3 M_{\text{BH}}$) spheroid (red lines). The functional form for the QLF and implied “triggering rate” is taken from the best-fit given in Hopkins, Richards, & Hernquist (2007). Lower line in each panel assumes instantaneous reddening, upper line a 1 Gyr delay (post-quasar peak) before objects appear on the red sequence. The implied rate, if quasars and the blue-red transition are associated with the same event, agrees well with the buildup of elliptical populations at all masses at moderate and high redshifts. At low redshift $z \lesssim 0.3$ (and to a lesser extent, at $z \sim 0.3 - 0.7$), the implied rate from the QLF falls below the observed buildup at high masses. The deficit can be accounted for at the highest masses with the observed rate of “dry” mergers (which, by definition, will not generally trigger quasar activity).

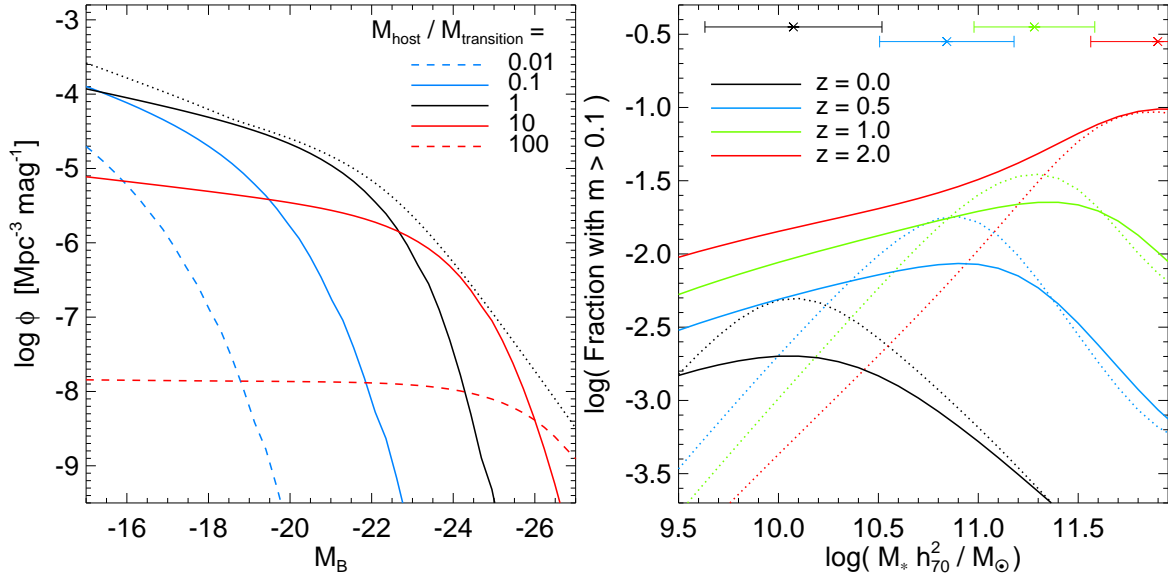


FIG. 14.— *Left*: Predicted contribution to the total B -band QLF (dotted, from the compilation of Hopkins, Richards, & Hernquist (2007)) from host galaxies in different mass intervals relative to the observed transition mass as labeled, from the models of Hopkins et al. (2005a-e, 2006a-d; see Table 5 of Hopkins, Richards, & Hernquist (2007)) (shown at $z = 0.5$, but qualitatively similar at all redshifts of interest). *Right*: Predicted fraction of galaxies hosting an AGN with an accretion rate (relative to Eddington) $\dot{m} > 0.1$, as a function of mass, at different redshifts as labeled. Solid lines show the best-fit model from Hopkins, Richards, & Hernquist (2007, Table 5), dotted lines the 2σ minimum allowed contribution to the QLF from low- M_* hosts. Points of the corresponding color show the observed “transition” mass at each redshift (from the best-fit trend; dashed line in Figure 2; error bars show approximate dispersion from different “transition” mass definitions). Although systematic uncertainties at low masses are large, the predictions above – that quasar $\sim L_*$ hosts and a turnover or peak in the “active” galaxy fraction should correspond to $\sim M_{tr}$ hosts – are robust expectations of models which associate a blue-red transition and quasar activity.

that it is *sufficient*. In other words, there may be other processes (e.g. mergers and/or quasars) which drive the blue-red transition and movement to the RS, and the “hot accretion” mode simply maintains these galaxies at their low star formation rates.

One possible interpretation of the observed “transition mass,” perhaps the most naive, is that the transition mass simply represents the stellar mass hosted in M_{crit} halos at each redshift. If we adopt the expected halo quenching mass M_{crit} from Dekel & Birnboim (2006), and either assume the galaxies hosted have the same stellar mass as those in $z = 0$ halos of the same mass (measured in Mandelbaum et al. 2006), or that they are already fully assembled (i.e. have stellar masses at z appropriate for what their halo mass will be at $z = 0$), we can compare with our observed “transition mass.” We find that while the two are similar at low redshifts, they diverge at higher- z . This is, of course, where the observations are most uncertain, so it may simply reflect a systematic error in our estimation of the “transition mass.” But it probably also reflects the possibility that, in these models, the “transition mass” has a more complex physical origin than simply tracing M_{crit} . As noted in § 3, allowing for more complex and realistic distributions of galaxies in transition to the RS can affect quantities such as the “break” mass M_* and “transition” mass in a non-trivial manner. But there is also the possibility that the “transition” to the RS requires additional processes beyond the initial cutoff of new gas supplies in “hot mode” accretion, such as gas exhaustion, mergers, and/or quasar activity to operate, which are what we see traced by the observed transition mass.

It is also worth considering whether or not the flow of galaxy host halos across M_{crit} is consistent with the number and mass densities of “transition” objects and the buildup in early-type populations which we have estimated from the observations. The rate at which halos cross a given mass threshold M is straightforward to calculate in linear theory from the Press-Schechter formalism,

$$F(> M | z) = \text{erfc} \left(\frac{\delta_{\text{coll}}(z)}{\sqrt{2}\sigma(M)} \right), \quad (9)$$

and adopting either a simple mean stellar-to-dark matter mass ratio (from the calibration of Mandelbaum et al. 2006), or integrating (at this halo mass) over the population of inferred hosted galaxies from the conditional galaxy mass functions (CMFs; i.e. probability that halos of mass M host galaxies of stellar mass M_*) yields an estimate of the rate at which stellar mass crosses this threshold. The local CMF is determined (albeit indirectly) entirely from observations of galaxy mass/luminosity functions and clustering (e.g., Yang et al. 2003, 2005; Zheng et al. 2005), and has been subsequently measured directly in 2dFGRS group catalogues by Yang et al. (2005), and is well-constrained with typical uncertainties smaller than or comparable to those in our estimate of the rate of early-type “buildup” (at least for $M_* \gtrsim 10^{10} M_\odot$ of interest here; the MF at lower masses depends on the mass threshold for inclusion of satellite systems). Yan et al. (2003); Cooray (2005, 2006) extend the CLF/CMF to high redshifts ($z \lesssim 4$) using a large number of luminosity function and clustering estimates from wide-area surveys. Note that Yang et al. (2003, 2005) and Cooray (2006) actually measure the conditional luminosity function (CLF); we convert to a CMF using the appropriate M/L ratios as a function of mass from Bell et al. (2003), and assume these M/L values evolve with redshift following the best-fit stellar population models as a function of

mass from Gallazzi et al. (2006) and Renzini (2006). Checking directly (following the methodology of Yang et al. 2003) shows that this agrees with the Bell et al. (2003) mass functions (see also their Figure 19) and the Li et al. (2006) measured clustering as a function of stellar mass, and furthermore, these CMFs agree well with those directly determined in Zheng et al. (2005). Ultimately, there are a number of systematic (factor $\sim 2-3$) uncertainties in this comparison, and our (admittedly crude) empirical calculation ignores the fact that, in “quenching” models, crossing the quenching threshold itself may change the stellar-to-dark matter mass ratios and stellar M/L values. However, within these rather large uncertainties, our purely empirically estimated rate at which galactic host halos cross M_{crit} is consistent with the possibility that this is a necessary prerequisite for “transition” to the RS.

8. CLUSTERING: AN INDEPENDENT TEST

We compare the populations we have considered in an independent manner by examining their clustering properties. If a population (i.e. a given set of “parent” halos) clusters with a given bias $b(z)$ at some redshift z , then the subsequent evolution in their bias is trivially calculated in linear theory

$$b(z=0) = 1 + D(z)[b(z) - 1], \quad (10)$$

where $D(z)$ is the growth factor (Croom et al. 2001), regardless of the processes (accretion, mergers, etc.) that affect the halos (and galaxies) themselves. The bias of galaxies (specifically red/elliptical galaxies) as a function of stellar mass is well-determined at $z = 0$ (we adopt the recent determination from the SDSS in Li et al. 2006, with typical $\lesssim 10\%$ uncertainty), so given the bias of a population at z and evolving it to $z = 0$ with Equation (10) yields the characteristic $z = 0$ stellar mass of this population (i.e. the average stellar mass of which the population is the “parent”).

Figure 16 shows this M_* , calculated from various clustering measurements $b(z)$ of quasars, mergers (ULIRGs and SMGs), and E+A galaxies as a function of redshift, and compares to the “transition” mass M_{50} at each redshift. We invert this as well; knowing $M_{50}(z)$, evolve $b(M_{50}, z=0)$ with Equation (10) to estimate $b(z)$. Note that all $b(z)$ shown from measurements are converted from the directly observed clustering length r_0 , which for a power law correlation function yields

$$r_0 = r_0(z=0)[bD(z)]^{\gamma/2}, \quad (11)$$

with $r_0(z=0) \sim 5h^{-1}\text{Mpc}$ and $\gamma \sim 1.8$ (see, e.g., Norberg et al. 2002). The absolute value of the bias as plotted is then weakly dependent on cosmology (and this conversion, of course, is inexact), but the important point for our purposes is that the *relative* bias of all points plotted (and $b(M_*, z=0)$ with which we compare) is insensitive to the cosmology.

These comparisons do assume that the stellar mass of individual systems does not change much from z to $z=0$, i.e. that, once formed, ellipticals are passively evolving. However, we can easily eliminate this assumption, by considering the clustering directly observed for red galaxies of mass $M_{50}(z)$ at that redshift, and Figure 16 shows this as well. In either case, the agreement with the clustering of quasars and (albeit much less well-constrained) merger/E+A populations is good. This also agrees with determinations of e.g. the typical overdensities and small-scale clustering of quasars and ULIRGs (Farrah et al. 2004; Hennawi et al. 2006; Serber et al. 2006).

This method by which we compare clustering is only weakly dependent on cosmology, through the growth factor $D(z)$ (independent of e.g. σ_8). There are some caveats,

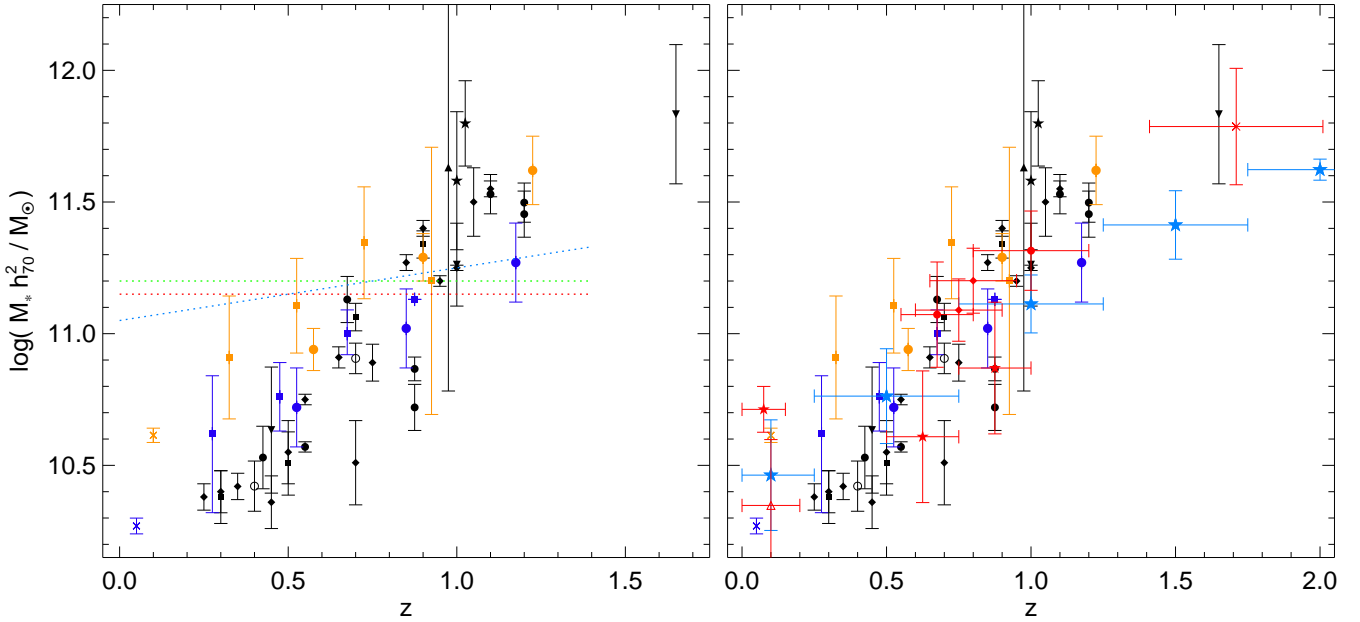


FIG. 15.— *Left:* The “transition” mass determined by various definitions (M_{50} from all samples, M_{lr} from color-selected samples, and M_Q from color-selected samples shown as black, purple, and orange points, respectively, as in Figure 2), as a function of redshift. Dotted lines show the characteristic mass M_* of all, red, and blue galaxies (green, red, and blue, respectively; see Figure 5). The null hypothesis that “transition” mass objects (as well as quasars and mergers; see right panel) are drawn randomly/uniformly from the all, red, or blue galaxy population can be ruled out at $> 6\sigma$ ($> 5\sigma$ for quasars, $> 3\sigma$ for mergers). *Right:* The “transition” mass, as in the left panels, together with the characteristic masses M_* of merger mass functions (red points; as in right panel of Figure 7) and quasar hosts (blue stars; as in Figure 12). The observations at all redshift are consistent with the hypothesis that mergers, quasars, and the transition/addition to the red sequence are associated with the same event.

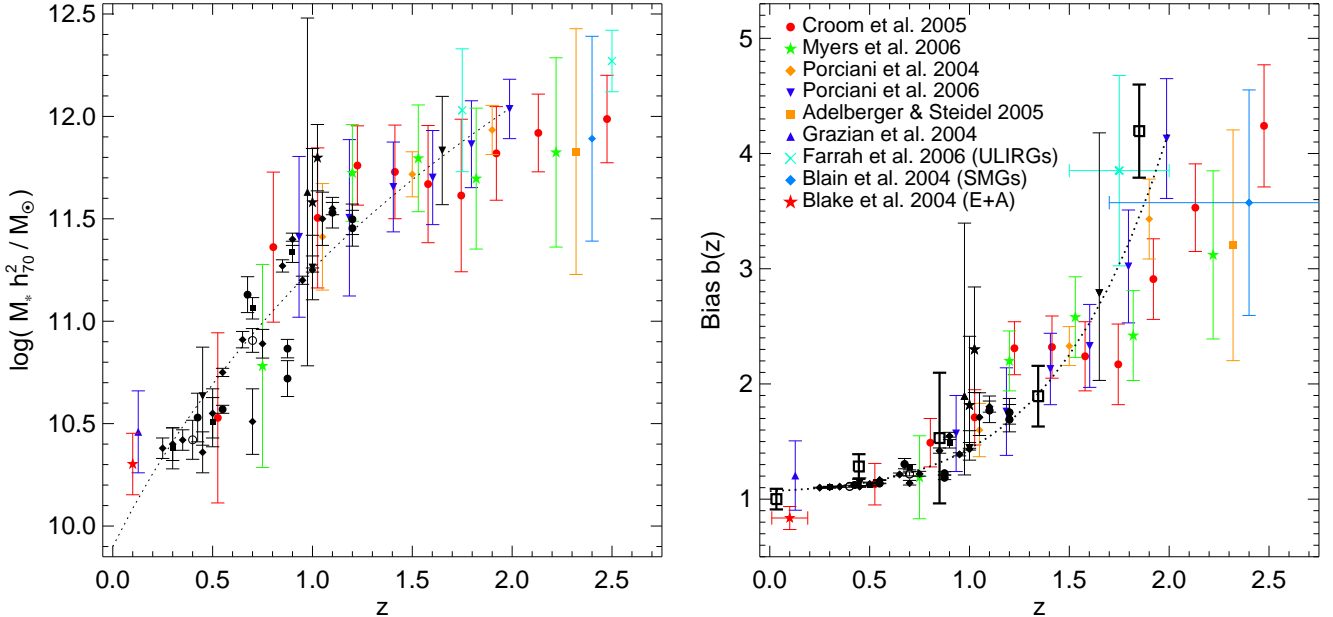


FIG. 16.— *Left:* Observed “transition” mass (black points show M_{50} as in Figure 2, from all samples; black dotted line shows best-fit trend) as a function of redshift, compared with the characteristic host masses (colored points) of quasars, recently-formed elliptical (E+A/K+A) galaxies, and ongoing bright mergers (ULIRGs/SMGs), estimated from their clustering properties. *Right:* Corresponding bias as a function of redshift. Black points as in left panel show $b(z)$ calculated from $M_{50}(z)$ and the observed $z = 0$ bias (Li et al. 2006) for that stellar mass (i.e. assuming passive evolution), open black squares show $b(z)$ determined directly from observations for red galaxies with the appropriate $M_{50}(z)$ ($M_{50}(z)$ from best-fit trend; points of increasing redshift from Li et al. 2006; Shepherd et al. 2001; Pollo et al. 2006; Meneux et al. 2006; Brown et al. 2005, respectively). Colored points show $b(z)$ observed for the quasar, E+A, and merger populations, as labeled. Note that $b(z)$ is defined from the clustering length r_0 and thus the relative bias and M_* shown are only weakly cosmology-dependent. This provides a completely independent check of the previous comparison between these populations, but one which suggests a similar co-evolution.

however. Technically, we are estimating the mass which has exactly the observed bias; this is some weighted mean mass. However, theoretical expectations from physically motivated quasar light curve models (Lidz et al. 2006) and direct observations of clustering as a function of luminosity (Adelberger & Steidel 2005b; Croom et al. 2005; Myers et al. 2006) suggest that quasar clustering depends only weakly on luminosity, reflecting a reasonably well-defined characteristic host mass. These comparisons will also, of course, be affected if the clustering of mergers is different on large scales from that of non-merging halos of the same mass (a so-called “merger bias”). However, a number of investigations have found no such dependence (e.g., Lemson & Kauffmann 1999; Kauffmann & Haehnelt 2002; Percival et al. 2003) and even where more recent investigations have seen such an effect (Gao et al. 2005) it has been restricted to small mass halos (below the “collapse mass”, i.e. where $b = 1$) at $z = 0$, and therefore the assumption of no merger bias has generally been adopted in quasar clustering studies (Martini & Weinberg 2001; Haiman & Hui 2001; Adelberger & Steidel 2005b; Croom et al. 2005; Myers et al. 2006; Lidz et al. 2006).

We can repeat this comparison using the formalism of Mo & White (1996) from linear collapse theory, which yields a characteristic halo mass from a given observed $b(z)$. We use the observed stellar mass-halo mass relations calibrated for elliptical galaxies from weak lensing measurements in Mandelbaum et al. (2006) to convert these halo masses to a stellar mass M_{tr} . We convert between halo mass and bias with the method of Mo & White (1996) modified following Sheth et al. (2001) in our adopted cosmology (in detail assuming $\sigma_8 = 0.8$, $n_s = 0.98$) with the power spectrum computed following Eisenstein & Hu (1999). The results are similar, but are much more sensitive to the adopted cosmology and systematics in the stellar mass-halo mass relation in this approach.

In considering the clustering of M_{50} objects, we have considered the directly measured bias of objects with mass $M_{50}(z)$ at redshift z , as well as the “passively evolved” clustering from the $z = 0$ bias as a function of mass. We can gain further insight into the evolution of these populations by comparing the two. Knowing the observed bias of $M_{50}(z)$ objects at z , we can evolve this to $z = 0$ given Equation (10), and then use $b(M_*, z = 0)$ to obtain the typical stellar mass hosted by these systems at $z = 0$. Comparing that to their stellar mass at z , namely $M_{50}(z)$, shows by how much the typical stellar mass of the population has grown. We could also estimate this in a more indirect fashion, using linear theory to estimate a host halo mass $M_{\text{halo}}(z)$ given $b(z)$, then knowing the $z = 0$ mass of a halo with mass $M_{\text{halo}}(z)$ at z , use the local galaxy stellar-halo mass calibrations from Mandelbaum et al. (2006) to obtain $M_*(z = 0)$. Again, this approach is considerably more sensitive to the assumed cosmology, but in our adopted case yields similar results.

In Figure 17, we use this to compare $M_{50}(z)$, the stellar mass of “transition” mass objects at z , with $M_*(z = 0)$, i.e. the mean $z = 0$ stellar mass which is typically hosted by the evolved “parent halos.” Unless “transition” mass objects comprise some unusual outlier in their halo properties, this should represent the typical stellar mass these objects will grow to by $z = 0$. We compare with the expectation, following e.g. Bell et al. (2006a), that these stellar masses grow at a rate corresponding to one major (mass ratio 1 : 1) merger since $z = 1$. We also consider the case if the stellar mass in these objects

grows in fixed proportion with their host dark matter halos.

The systematic uncertainties (and measurement errors in $b(z)$) are sufficiently large that we should regard these comparisons with caution, and *not* consider this as evidence for a particular “amount” of dry merging. However, the estimated $M_*(z = 0)$ demonstrates that the $M_{50}(z)$ measurements are completely *consistent* with subsequent growth by dry mergers at observationally inferred rates. Growth in proportion to the host halo mass, by contrast, is extremely difficult to reconcile with observed properties of the galaxies. This is not surprising, as the existence of any significant $\sim 10^{12} M_{\odot}$ galaxy population at $z = 1$ without a corresponding $\sim 10^{13} - 10^{14} M_{\odot}$ galaxy population at $z = 0$ implies that, at least for some objects assembled most rapidly, subsequent galaxy *assembly* must lag behind halo growth (or subsequent growth in these halos must be anomalously slow). This does mean, however, that it is *not* possible to reconcile the observations with a model in which galaxy assembly uniformly tracks halo assembly, even allowing for the final galaxy stellar-halo mass ratio to be a function of halo mass (i.e. setting in all progenitors the effective M/L of the $z = 0$ halo, which then simply assembles).

9. SUMMARY & DISCUSSION

We compile a large number of observations of red/elliptical galaxy mass functions, and use these to determine the rate of “buildup” of the red sequence (RS) as a function of mass and redshift. Comparing these with observations of other populations allows us to test a number of different models for the possible associations between these populations and the “transition” of galaxies from blue, star-forming disks to red, “dead” ellipticals.

Independent of the nature of “downsizing” in the buildup of RS mass functions (discussed below), the rate of RS “buildup” is sufficiently well-determined to place meaningful constraints on a number of models. Dissipationless (gas poor, red, or “dry”) mergers can account for the buildup of the RS at only the largest masses $\gtrsim 10^{11} M_{\odot}$ at low redshift ($z \lesssim 0.3$). At higher redshifts ($z \gtrsim 0.5$), the dry merger rate would have to be at least an order of magnitude larger than observationally estimated (van Dokkum 2005; Masjedi et al. 2006; Bell et al. 2006a,b; Lotz et al. 2006b) to account for observed RS buildup, even at the highest masses. This is perhaps unsurprising, as these and other observations find the *gas-rich* merger rate/fraction is an order of magnitude or more larger at all but the lowest redshifts. Furthermore, the total mass density on the RS is observed to increase by a factor $\sim 2.5 - 3$ since $z \sim 1$ (e.g., Bundy et al. 2005a; Franceschini et al. 2006; Pannella et al. 2006; Borch et al. 2006), and dry mergers cannot, by definition, move/form “new” galaxies and mass on the RS.

However, we find the *total* observed merger population (gas rich+gas poor) agrees very well with that expected if all RS galaxies are formed in mergers. Both the detailed mass distribution and fraction/rates of galaxy mergers are consistent with the rate of RS buildup at all masses and redshifts observed. This merger population is dominated by gas-rich mergers at all masses at high redshifts ($z \gtrsim 0.5$ Bell et al. 2006b; Lotz et al. 2006b) and at low masses at low redshifts, morphologically identifiable as bright (i.e. star-forming or starbursting) interacting systems (e.g., Bundy et al. 2006; Wolf et al. 2005). In detail, completely neglecting dry mergers (or merger mass functions sensitive to them), this agreement is unchanged except for the highest masses at low- z discussed

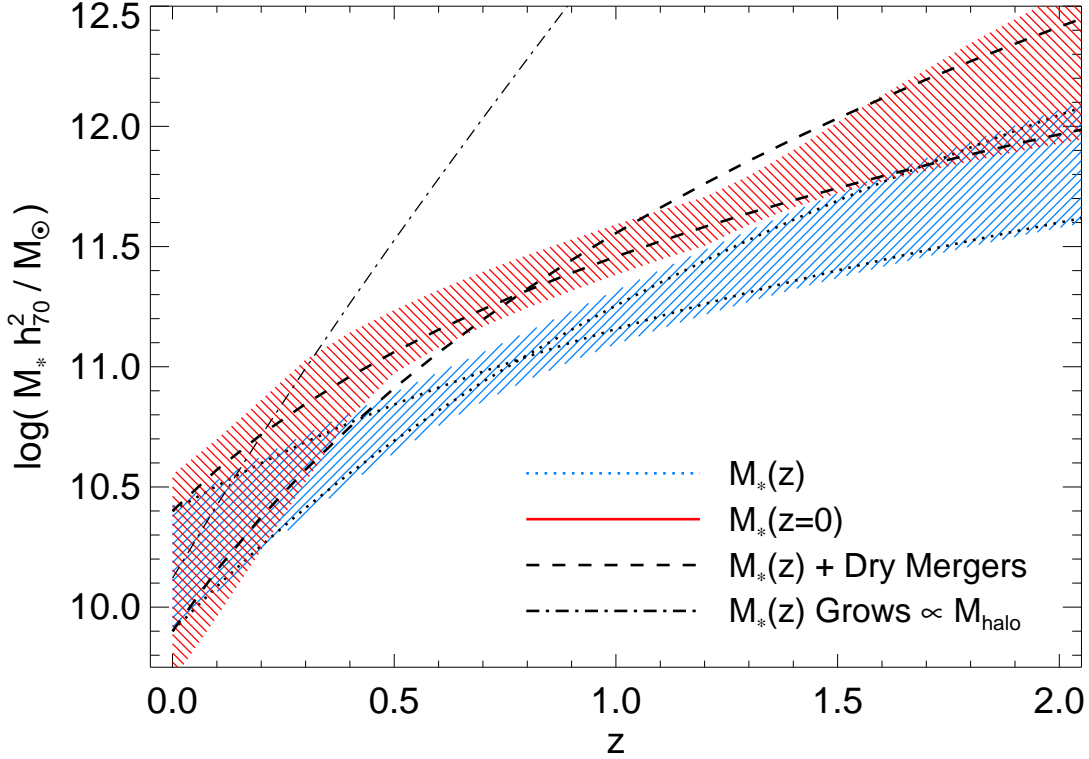


FIG. 17.— The best-fit “transition” stellar mass $M_{50}(z)$ (see Figure 2; dotted lines with blue shaded range show $\sim 1\sigma$ range) at a given redshift, compared to the inferred stellar mass of the same objects at redshift $z = 0$ (red shaded range). The observed bias of a fixed set of objects (halos) with stellar mass $M_{50}(z)$, $b(M_{50}(z), z)$, evolves simply to $z = 0$ (Croom et al. 2001), where a comparison with the local $b(M_*, z = 0)$ yields the typical stellar mass hosted by such halos. Dashed lines show the result of taking $M_{50}(z)$ (dotted lines) and allowing for a constant rate (~ 1 since $z = 1$, as suggested by observations; van Dokkum 2005; Bell et al. 2006a) of major (equal-mass) “dry” mergers. Dash-dotted line assumes that subsequent (after z) galaxy assembly tracks halo assembly (i.e. efficient dry merging; no “downsizing” in assembly times), as implied by some semi-analytic models (e.g., De Lucia & Blaizot 2006; Cattaneo et al. 2006, note this is *not* a rigorous comparison with these models). Although systematic uncertainties are sufficiently large that this should not be considered evidence for a particular amount of dry merging, the comparison demonstrates that the evolution in $M_{50}(z)$ is completely consistent with observational evidence for a significant growth by dry mergers since $z \gtrsim 1$. A very large number of dry mergers is, however, inconsistent, and this also violates the direct observationally determined rates of dry mergers above (galaxies grow by a factor $\gtrsim 2$ since $z = 1$). Note that dry mergers do not, by definition, “build up” the *total* mass budget on the red sequence, and at observed rates, have a relatively weak effect on the RS MF near the “transition” mass (see also Figure 4).

above. There is substantial systematic uncertainty in converting a merger fraction to a merger rate; our comparisons assume a characteristic observable merger timescale ~ 0.5 Gyr. However, this is a theoretically reasonable timescale (see § 4), and given the scatter in the observations, our conclusions are not changed for systematic shifts within a factor $\lesssim 2$, nor for allowing the merger timescale to scale with halo dynamical times ($\propto (1+z)^{-3/2}$). Furthermore, this has no effect on our comparison of the mass distributions of these populations.

Similarly, we find the rate at which host galaxies trigger quasars, determined as a function of the host stellar mass and redshift from the quasar luminosity function, agrees well with the observed RS buildup at all masses and redshifts observed. There is some discrepancy at the lowest redshifts and highest masses, but this is again where the dry merger contribution can account for the observed buildup, and dry mergers (by definition being gas-poor or gas-free) are not expected to trigger quasar activity. We consider this comparison first in a purely empirical fashion, using observed quasar Eddington ratios and the black hole-host mass relation to estimate quasar host masses as a function of redshift, and then in greater detail adopting the models of quasar light curves and lifetimes as a function of luminosity and host properties from the simulations of merger-induced quasar activity in Hopkins et al. (2006b). The latter introduces some model dependence (al-

though it is consistent with the Eddington ratio and black hole-host mass relation estimates), but allows us to consider this comparison in greater detail and to make specific predictions for the characteristic host masses of quasars as a function of their position on the QLF and for the AGN or “active” fraction of galaxies as a function of stellar mass. In either case, the agreement between the rates of quasar formation/triggering as a function of host stellar mass and the buildup of RS galaxies is similar.

We independently test these possible associations by comparing clustering measurements of the relevant populations as a function of redshift, and find similar results. The clustering of quasars and systems “in transition” to the RS agree at all redshifts as if they trace the same mass distribution. Clustering properties of merger (ULIRG and SMG) and post-merger (E+A) populations are consistent, but considerably less well-constrained.

Although the above comparisons do not technically depend on it, we determine the “transition” mass (M_{tr} , M_Q), i.e. the mass which separates the blue, star-forming disk and red, non star-forming elliptical populations, as a function of redshift. It has been suggested (e.g., Bundy et al. 2006) that this represents the characteristic mass at which galaxies are forming on or being added to the RS as a function of redshift, but quantified in this manner, it is not obviously so (see, e.g.

Shankar et al. 2006). We therefore also determine (M_{50} , following Cimatti et al. 2006) the minimum mass above which the RS mass function is $\gtrsim 50\%$ assembled at a given redshift. Regardless of definition, and furthermore regardless of the criterion used to separate early and late-type populations (whether e.g. a color, star formation rate, or morphology criterion), $M_{tr}/M_Q/M_{50}$ shift to systematically larger masses at higher redshift (significant at $> 6\sigma$), tracing a very similar trend as a function of redshift.

This trend, especially in M_{50} (which is independent of possible evolution in late-type mass functions) suggests that “downsizing” applies not just to galaxy *star formation*, but also in some sense to galaxy *assembly*, as suggested by the studies of e.g. Bundy et al. (2005a, 2006); Zucca et al. (2005); Yamada et al. (2005); Franceschini et al. (2006); Cimatti et al. (2006); Fontana et al. (2006); Brown et al. (2006). In greater detail, considering the full rate of RS buildup as a function of stellar mass and redshift, low mass ($\lesssim 10^{11} M_\odot$) galaxies appear to be building up rapidly/continuously at low redshifts ($\sim 7-15\%$ per Gyr), but the most massive systems do not ($\sim 1\%$ per Gyr growth at $z \sim 0$). The growth of the most massive systems instead appears to be rapid at significantly higher redshifts (e.g. rising to $\sim 20-50\%$ per Gyr by $z \sim 1$). Equivalently, the characteristic mass (Schechter function M_*) defined by this “formation” rate appears (albeit at only $\sim 2-3\sigma$) to increase with redshift in a similar fashion to the “transition” mass.

We compare the “transition” mass with the characteristic masses of mergers and quasars and again find they trace similar masses as a function of redshift, with “downsizing” evident in all three populations ($> 3\sigma$ for mergers, $> 6\sigma$ for quasars), further supported by their observed clustering. We compare with the characteristic (Schechter function) M_* of the entire, red, and blue galaxy populations, and rule out at high significance the possibility that “transition” mass objects are drawn uniformly from any of these populations. Even with the systematic uncertainties in this mass estimate, it is also clearly distinct as a function of redshift from the characteristic masses of e.g. cluster, radio galaxy, ERO, DRG, or LBG populations (see e.g. Figure 2 of Farrah et al. 2006).

These observations are all consistent with and suggest a scenario in which major mergers, quasars, and the transition from blue disk to red elliptical galaxies are associated. They do not inform us regarding, for example, whether gas exhaustion or stellar or quasar feedback is the specific mechanism for the reddening which accompanies the merger-driven morphological transformation and quasar episode. However, they support the hypothesis that mergers drive the transition from blue disks to red elliptical galaxies, terminating in decaying, feedback-driven bright quasar phases. The transition mass and break in the QLF appear to reflect the characteristic mass of gas-rich objects merging at a given redshift, which may build up the *new mass* on the red sequence at progressively lower masses at lower z as gas supplies are exhausted in more massive systems.

That quasar host masses trace the “transition” mass and not, e.g. the blue galaxy population M_* (see also Figure 15) rules out the possibility that quasar activity *generically* traces star formation, as variants of e.g. the Granato et al. (2004) models might predict. Likewise, that quasar masses do not trace the red galaxy M_* , as they would if quasar activity was long-lived or randomly (but uniformly as a function of mass) episodic in high-mass black holes. The former case would be expected from the low-level AGN activity invoked in e.g.

Croton et al. (2006), if “radio” and optical or high-Eddington ratio quasar activity were associated, but they are in fact generally believed to be distinct (e.g., Ho 2002; White et al. 2006; Koerding et al. 2006). The latter case implies strong limits on implementations of e.g. the Binney (2004) model, which seek to suppress cooling flows through sporadic but potentially high accretion rate AGN activity.

Although we can rule out some alternatives to the merger scenario, there remain a number of viable variants of “quenching” models, in which crossing the critical halo mass M_{crit} and entering a “hot” accretion regime plays a key role in the “transition” to the RS. Especially given that some feedback mechanism is typically required, even in the “hot” accretion regime, to prevent the formation of cooling flows, it is easy to imagine a scenario in which, upon entering this regime, new infalling halo gas is shock-heated, but feedback from e.g. a central disk galaxy with a small black hole is inefficient, cold gas reservoirs remain large, and cooling flows can form. Thus the system will not redden until it subsequently undergoes a major merger, which morphologically transforms the system, rapidly exhausts the remaining cold gas reservoir, and triggers a quasar and builds up a massive black hole, injecting some level of feedback and enabling efficient future (e.g. cyclic AGN or radio-mode) feedback. The “hot” accretion regime may be a necessary prerequisite for feedback to efficiently prevent subsequent cooling, and as discussed in § 7, our comparisons are all consistent with this possibility. This is generally similar to the scenario assumed in e.g. Croton et al. (2006), although they do explicitly incorporate quasar light curves or feedback. Recognizing these distinctions (as opposed to e.g. assuming a system simply “shuts down” upon reaching M_{crit}) will probably have little effect on the $z = 0$ predictions of semi-analytic models, since in either case star formation will be effectively suppressed at relatively early times in the most massive systems (see also Cattaneo et al. 2006). However, at higher redshifts when massive objects are still forming, the distinctions will almost certainly be significant.

We note that none of our conclusions conflict with the hypothesis that, once formed, elliptical galaxies can continue to grow by dry mergers. However, they emphasize that the importance of such mergers is restricted to the most massive galaxies at low redshifts. Our results, even the steep evolution of M_{50} implying some “downsizing” in red galaxy assembly, are all consistent with (and in fact, marginally *favor*) the relatively low observationally inferred dry merger rate (~ 1 major dry merger since $z \sim 1$). Essentially, “downsizing” in galaxy assembly as we have quantified it is not, strictly speaking, “anti-hierarchical.” Massive galaxies still continue to build up their populations to the present; it is simply a statement that the *relative* rates of red galaxy formation/assembly decrease or “slow down” at late times in the most massive systems. This could be related to pure dark matter processes, for example the rapid evolution in large overdensities could simply exhaust the “supply” of galaxies with which to merge, or the cluster environments of massive systems at low redshift attain sufficient circular velocities as to rapidly reduce merger rates (see also Neistein et al. 2006). The evolution of the “transition” mass may, alternatively, be a statement that galaxy assembly does not strictly trace halo assembly. There are a number of baryonic processes which make this possible, as it simply requires that the effective baryon conversion efficiencies in galaxies be a function of time, or different for central vs. satellite systems.

Improved measurements of early-type mass functions at

high redshift ($z \sim 1$), larger samples of mergers from which to construct merger mass functions, revised or direct determinations of high-redshift conditional mass functions, and direct observations of the masses of quasar hosts will substantially improve the constraints in this paper. Ultimately, the integration of the merger and quasar host mass functions may enable a purely observational comparison with the remnant, red galaxy mass function. Calibration of the observable merger “timescale” with realistic high resolution galaxy merger simulations, i.e. calibration of selection efficiencies for observed merger fractions, can further remove the factor ~ 2 uncertainty in comparing the rates of elliptical buildup and observed merger populations. The association favored here between mergers, quasars, and elliptical buildup also makes specific predictions for the characteristic masses of E+A galaxies and quasar hosts as a function of redshift, which should be testable in future wide-field surveys.

The scenario we have described does not, of course, imply that mergers, quasars, and remnant ellipticals will necessarily be recognizable as the same, singular objects at a given instant – in fact, simulations which follow the transition through these stages (e.g., Hopkins et al. 2006c), predict that they will be seen as distinct phases in merger-triggered evolution, and observations tracking e.g. the associations between dynamical

merger state and quasar activity (e.g., Straughn et al. 2005) support this distinction. What we ultimately find evidence for here in the masses, luminosities, and clustering properties of mergers, galaxies being “added” or “in transition” to the red sequence, and quasars is that they are drawn from the same “parent” population, and that this population is distinct from the “quiescent” all/red/blue galaxy population. Again, none of this strictly implies causality, but it does favor models which associate these populations with the same event, a natural expectation if mergers of gas-rich galaxies trigger quasars and morphologically transform disks to spheroids, moving “new” mass to the early-type population and leaving an elliptical, gas-poor, rapidly reddening remnant galaxy.

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